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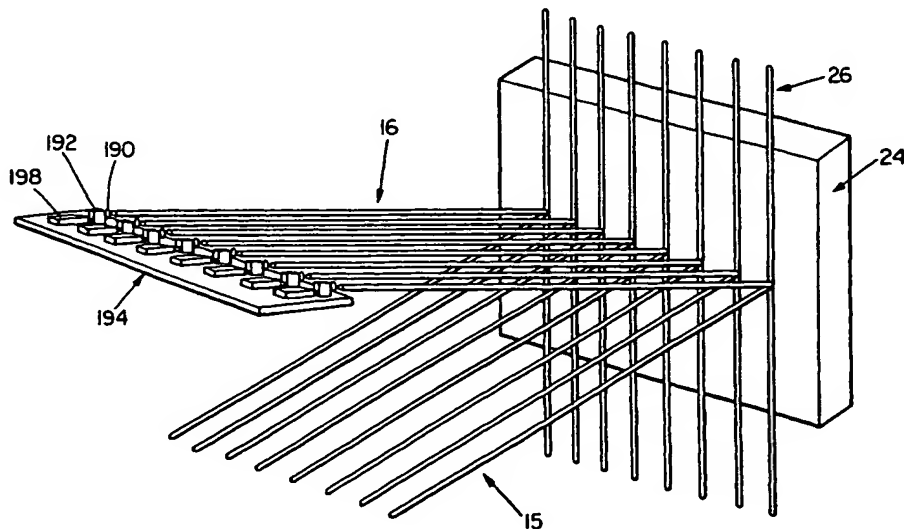
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(54) Title: SYSTEM AND METHOD FOR MOLECULAR SAMPLE MEASUREMENT



(57) Abstract: A system for aligning the optical components of a chemical analysis system in which capillaries or optical fibers are supported by a substrate. The system provides for alignment of elements of an electrophoresis system in an efficient high sampling rate capability. The system provides multiple sources, such as lasers or LEDs that are optically coupled to each capillary or channel. Multi-analyte capability, a variety of analysis modes and DNA sequencing operations are applications of systems using a plurality of lasers per channel.

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SYSTEM AND METHOD FOR MOLECULAR SAMPLE MEASUREMENT

BACKGROUND OF THE INVENTION

Capillary Gel Electrophoresis (CGE) is a sensitive method for analysis and identification of biological molecular systems. CGE is a relatively new analytical separation technique that can be applied to the analysis of a wide variety of compounds that provide for improved resolution over other existing techniques. Its use for increasing the rate at which DNA sequencing can be performed has been of particular interest. Because of its sensitivity, the technique is gaining acceptance in many laboratories and manufacturing operations of drug and chemical manufacturers worldwide. However, the instrumentation that is being used to produce the data using this technique is still relatively inefficient, complex and expensive. Although these systems can appear physically different from each other, they all contain the basic functional blocks required for this type of analysis. Each has a method of holding the capillaries, injecting samples therein, transmitting and collecting light, detecting a fluorescent signal from each sample being measured that is induced by the incident light energy, applying voltage to the capillaries, and outputting the collected data in some form.

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What these systems generally suffer from is that the techniques involve equipment that is not cost effective for high volume manufacturing, and consequently does not permit widespread use of this important analytical technique. The performance of a single capillary system depends on the method of sample
5 excitation and on the signal collecting optics. In multi-capillary systems precise alignment of delivery, collection and sample assemblies can be difficult. In free beam systems this has been done by visual inspection of reflected or transmitted laser light.

There is a continuing need for improvements in systems for performing
10 optical measurements of biological samples that are readily manufacturable, have low maintenance costs and provide for fast accurate analysis of a large number of samples.

SUMMARY OF THE INVENTION

This invention relates to a system and method for delivering light to
15 chemical or biochemical samples using an aligned optical fiber delivery system that couples light from a light source with an array of sample channels. Light from the samples is collected and detected for data analysis, presentation, and storage. The optical signal collection is accomplished by a second optical fiber system. In a preferred embodiment, the delivery and collection optical fiber systems are mounted
20 and permanently aligned on a mounting structure such that each channel or capillary is in the same plane as the delivery fiber and collection fiber for that capillary. The delivery and collecting fibers can be selected with respect to their core sizes and numerical apertures to satisfy the particular application requirements. The collecting fiber largely filters out the excitation light, reducing the detection noise and
25 improving the detection sensitivity. A multi-mode fiber can be used for this purpose. In an optical fiber CGE delivery and collection system, the collecting fiber fulfills the role of a spatial filter, lens and a light guide. The two fibers and the capillary are co-planar, enabling a practical and inexpensive method of fabricating a multichannel assembly. The spatial filtering of the undesired, noise-generating,

excitation light in the collecting fiber has improved performance over free beam systems where reflections dominate the fluorescence signal.

This fiber optical system presents a number of advantages over the free beam technology used in existing systems. There are no optical components other than
5 fibers, thereby reducing cost, complexity and size. Also, the geometry reduces the amount of excitation light reflected back to the collecting fiber, improving signal to noise ratio. Another advantage of this fiber system is simplification of multicolor detection in comparison with free beam optics where the focal length of lenses, or deflection angles are wavelength sensitive, making simultaneous focusing of
10 different colors difficult. This is not the case in a fiber based system where the emitted light fills substantially the same cone of light at the fiber output and input.

A preferred embodiment of the invention pertains to all fiber systems where the fiber and capillary assemblies are fabricated by affixing them on precision planar surfaces. This relies on highly precise features or grooves formed on a silicon wafer
15 or substrate, for example, by well known micromachining techniques. A large number of capillaries can be precisely aligned and measured with this system, thereby substantially increasing the rate of sample analysis. Arrays of capillaries or channels can be manufactured in multiples of 4 or 8, including 16 or 32, for example. Features are accurate to within 10 microns or less to provide the accurate
20 positioning necessary to achieve the desired measurement accuracy.

Another preferred embodiment of the invention relates to the use of the grooves or channels in the substrate instead of the capillary tubes to confine the gel. A quartz window can be attached to the grooved substrate to provide an optical window for all of the channels in an array. The window can also have a groove to
25 provide a symmetric cross-section to the channel.

Alignment features can also be incorporated in the substrates. An optical alignment system is described here where an alignment accuracy of less than 10 microns, and preferably of about 1 μm is employed. This method makes use of the precise geometry of the fiber and capillary assembly substrates.

30 The registration feature can be a single or a multiple groove structure depending on the method used. The optical registration technique involves detecting

a change in surface reflectivity when a fiber tip moves over a groove or a similarly reflecting feature in the reflecting surface. If the fiber position is fabricated precisely with the reflecting feature the change of reflectivity indicates the point of registration.

- 5 Another preferred embodiment of the invention includes a system and method for positioning an optical fiber relative to a measurement cell such as a capillary tube. In this system light emitted by an optical fiber is reflected by the capillary surface, for example, and the intensity of the sensed reflected signal is compared to a reference value. The comparison is used to stop the motion of the
- 10 optical fiber system when it is correctly positioned. A feedback control system can be used to automatically position either the optical fiber system, or the capillary system, relative to the other.

- c8
- 15 Another preferred embodiment of the invention relates to the use of a light source which emits a plurality of wavelengths. For example, a plurality of lasers can provide multiple color excitation. Multiple lasers are optically coupled to each capillary or channel. Thus, multiple lasers feed a single fiber that carries the light to the sample-carrying capillary. Each source can be modulated at a different modulation frequency so that the combined beams contain multiple modulation frequencies. Further, each source can be modulated separately which minimizes
- 20 cross talk during coherent detection. A single detector can detect multiple emission peaks simultaneously. The detected fluorescence signal generates photocurrent that contains multiple frequencies. The ratio of the amplitudes depends on the fluorescent label excited by the light beam. This embodiment provides a multi-analyte detection capability and expands the use of the system of the present
- 25 invention to perform a variety of analysis and DNA sequencing operations.

- Another preferred embodiment of the invention includes using a light emitting device such as a light emitting diode (LED) as the light source. The LED light source can provide the user with the option of using a variable intensity for each LED. Thus, the intensity of each LED signal can be modulated at a different
- 30 frequency and detected using an electronic filter for each detected signal that is tuned to the frequency of the corresponding LED. LEDs with different emission

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wavelengths can be used for different channels to match the absorption bands of different dyes that can be used in the different channels.

- In a preferred embodiment, a plurality of semiconductor lasers can be used to irradiate the channels. For certain applications lasers can provide more efficient pumping of the dye and thereby improve sensitivity. For example, III-V semiconductor materials can be used to fabricate a solid state array of lasers emitting in the visible or near infrared range, and preferably between 400-500nm that are matched to the excitation band of a selected dye. Gallium nitride based lasers emitting at a center line of 417nm are available for this application, for example.
- Such a laser array can be optically coupled using a fiber array or with a lens array as described herein. InGaN based lasers can be used at longer wavelengths. Other regions of the visible or near-infrared up to 850nm can also be used with LEDs or semiconductor lasers based on GaAs or InGaAs.

- A preferred method using two or more lasers emitting at different excitation wavelengths to illuminate each capillary. For example, three lasers emitting at three different wavelengths can be used to measure four different labels having different emission peaks. Each capillary in this four color analysis system can have three or four lasers that are optically coupled therewith using the optical systems described herein.

BRIEF DESCRIPTION OF THE DRAWINGS

- The foregoing and other objects, features, and advantages of the invention will be apparent from the following more particular description of preferred embodiments of the invention, as illustrated in the accompanying drawings in which like reference characters refer to the same parts throughout the different views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principles of the invention.

Figure 1 illustrates a system for optically measuring the contents of a capillary array in accordance with a preferred embodiment of the invention.

- Figure 2 is a detailed view of the fiber optic delivery and collection system in accordance with the invention.

Figure 3 illustrates an alternative embodiment of the invention using an optical splitter for simultaneous irradiation of capillaries.

Figure 4 illustrates a process sequence for making a multi-capillary holder in accordance with a preferred embodiment of the invention.

5 Figure 5 is a top view of a system for mounting guides onto a channeled substrate in accordance with the invention.

Figure 6 shows a grooved silicon substrate made in accordance with the method of Figure 3.

10 Figure 7 illustrates an array of capillaries or fibers mounted on a grooved silicon substrate.

Figures 8A and 8B illustrate methods for aligning arrays with a fiber optic device.

Figure 9 is a preferred process for aligning the optical elements of an optical analysis system in accordance with the invention.

15 Figure 10 is a mounting structure for a capillary assembly in accordance with the invention.

Figure 11 illustrates a system for optically measuring the contents of a capillary array using a light emitting diode array as the light source.

20 Figure 12 illustrates another alternative embodiment of a system for optically measuring the contents of a capillary array.

Figure 13 shows a detailed view of the light emitting diode and detector mounting assembly.

Figure 14 graphically illustrates the different LED's intensity being modulated at different frequencies.

25 Figure 15 illustrates an alternative embodiment of a system for optically measuring contents of a capillary array.

Figures 16A - 16D graphically illustrate different absorption and emission peaks of three dyes excited by two different lasers, which label different DNA material and the signature of the species detected in accordance with a preferred
30 embodiment of the present invention.

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Figures 17A-17E graphically illustrate different absorption and emission peaks of four dyes excited by three different lasers, which label different DNA material and the signature of the species detected in accordance with another preferred embodiment of the present invention.

5 DETAILED DESCRIPTION OF THE INVENTION

A preferred embodiment of the invention is illustrated in the CGE system 10 of Figure 1. An array of capillaries 26 is provided that are mounted on a first substrate 24. An array of delivery fibers 16 is mounted on a substrate 14 and an optical switch 18 is positioned to couple light from light source 12 to each of the
10 fibers 16 in sequence. Light source 12 can preferably be an argon laser, a solid state laser or any other light source having a suitable emission spectrum for a given application. The light source is coupled to optical switch 18 with a fiber 17. The system also includes an optical combiner or second switch 20 that is coupled to a detector 22 such as a photomultiplier tube or solid state detector device such as a
15 charge coupled device or CMOS detector. As described below the detector is connected to a multichannel analyzer 21, a computer 23 and display 25.

In operation, light from the source is coupled to fibers 16 in sequence. The distal ends of the fibers are each in close proximity to a window on a capillary tube. In a preferred embodiment of the system, each capillary has a corresponding
20 delivery and collection fiber. Each capillary, 26a for example, is in a single plane with its corresponding delivery 16a and collection 5a fiber. This provides a compact system providing for easy alignment.

A mounting structure 150 for the optical fiber system of the present invention is illustrated in connection with Figure 2. The delivery fibers 16 are
25 mounted onto a precision grooved substrate 14 with an adhesive layer 39. The substrate 14 is mounted onto a mounting element 140 with the fibers extending between the substrate 14 and a first mounting surface of element 140. The collection fibers 15 and second substrate are similarly mounted on a second mounting surface of element 140. The mounting surface define an angle such that
30 the delivery and collection fibers are at an angle between 40° and 50° relative to each

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other, preferably at about 45°. Smaller angles tend to increase the signal to noise ratio and higher angles tend to tighten the alignment tolerances.

The element 140 can be mounted on a moveable platform or support in which a first actuator 33 and a second actuator 35 can be used to either manually or
5 electromechanically reposition the optical fiber system relative to the capillaries 26. An alignment fiber 29 coupled to a second light source such as a light emitting diode 27 and light sensor 28 can be used for registration. Servo motors can be connected along circuit 37 to computer 23 or other controller to provide for automatic feedback control of the fibers relative to the capillary assembly.

10 In another preferred embodiment the optical switch is replaced by an optical splitter 34 as shown in the illustration of the light delivery system 30 in Figure 2. In this embodiment, light from the source 12 is delivered through fiber 17 to a splitter, which in the embodiment divides the light into eight separate components and couples the light components into the proximal ends of fibers 36. The fibers 36 are
15 mounted onto the channels of a substrate 32 as described in greater detail below. In this embodiment, although the power requirements for light source 12 are substantially increased, the samples of all eight capillaries that are coupled to the fibers 36 can be measured simultaneously.

A preferred method for fabricating the substrates 14 and 24 is illustrated in
20 connection with the process flow sequence 40 of Figure 4. In this particular example, a silicon wafer is provided 42 having suitable resistivity, thickness, diameter and crystallographic orientation. The wafer can be cleaned 44 with a mixture of sulfuric acid and water and revised. A masking layer is then deposited 46, preferably a one micron thick layer of silicon nitride using a low pressure
25 chemical vapor deposition process. Next a photolithographic step 48 is performed by depositing and patterning a photoresist to define the channel or groove structure to be formed in the wafer. Note that several patterns can be formed in a single wafer. The linewidths of the resist pattern are then verified and the silicon nitride layer is etched 50 to expose the surface pattern for the grooves in the wafer. The
30 photoresist is then removed and the linewidth of the openings in the silicon nitride layer are measured.

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If the pattern is satisfactory, the exposed silicon is etched 52 using a standard etchant, such as a KOH/Alc mixture at 80° C. The remaining silicon nitride can be removed 54 using an HF bath and the wafer surface is rinsed to the desired resistivity.

- 5 The grooved silicon substrate can be oxidized 56 to provide an insulating layer having a thickness in the range of 5,000 to 10,000 Angstroms. This can be performed in a thermal oxidation furnace at 950° C.

The wafer or substrate is then diced or cut 58 with a saw to provide a plurality of grooved substrates having desired geometrics.

- 10 Note that a large number of 8 or 16 groove substrates can be fabricated and affixed to a frame to provide a large number of capillary elements. As many as 96 or more capillaries can be configured in a single system. One or a plurality of lasers can be used depending upon the number of capillaries, the switching capacity and power requirements.

- 15 Illustrated in Figure 5 is an assembly used to mount guides such as optical fibers or capillaries 69 into the channels of a substrate 60. The substrate 60 is held by a vacuum chuck on a supporting surface 66 and two arms 67 are positioned over guides 69 to hold them in the grooves.

- 20 An adhesive such as a UV curable commercially available epoxy is placed into the opening 68 between the arms 67 and cured. The arms 67 are then removed and the substrate released from the support 66. This provides a procedure well suited for automated manufacture of registered guide components for optical measurement systems.

- 25 A typical substrate 60 fabricated in accordance with the method of Figure 4 is illustrated in Figure 6. The substrate 60 has grooves or channels 64 for holding optical fibers or capillary tubes. Alignment grooves 62 can also be included and used for alignment as shown in Figures 8A and 8B below. In another preferred embodiment, the channels 64 themselves can be used to contain the gel. In this embodiment a quartz window can be attached to the grooved surface of substrate 60
- 30 to seal the channels and provide an optical window.

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Figure 7 illustrates an alignment module 70 that can be used in the system of Figures 1 and 2. The substrate 72 has grooves 76 in which capillary tubes or fibers 74 have been positioned. The distance 78 between adjoining fibers or capillaries is precisely known.

5 As shown in Figures 8A and 8B a two fiber system 80 or single fiber system 90 can be used with a groove 82, 92, respectively, in a substrate to confirm alignment. In system 80 fibers 84 and 86 are used to deliver or collect light from a reflecting feature 82 such as a groove. A detector coupled to the proximal end of fiber 86 will verify alignment. Alternatively, in the single fiber system the reflected
10 signal will null out to indicate a proper registration mark.

This process is illustrated in connection with the process sequence of Figure 9. After initial assembly of the fibers onto element 140 the optical fiber system is positioned 102 relative to the capillaries using visual inspection. Light is directed 104 through one or more alignment fibers onto the capillary assembly and the
15 reflected light is detected 106. Based upon this measurement, the element 140 is repositioned 108 and the light delivery and detection steps are repeated 110 until the capillaries are properly aligned and sample analysis 112 can be performed.

Shown in Figure 10 is a capillary assembly including a support 120, two arms 124 and 128 to hold the capillary substrate onto the support 120, electrical
20 leads 125 to connect to first ends of each capillary, and a capillary holder 124. A common electrical lead 122 can be used to connect the second end of the capillaries to a common electrical connector 122. The capillary assembly can also include silicon panels 65, 66 on the opposite side of the optical fibers relative to substrate 24. The substrate 24 and panels 65, 66 act as a heat sink to remove heat from the
25 capillaries caused by the current passing through them. This prevents thermally induced movement of the capillaries that may result in misalignment relative to the optical fiber system.

Figure 11 shows another preferred embodiment of the invention where the light source is an array of light emitting devices, such as light emitting diodes
30 (LED's). An array of capillaries 26 is provided that are mounted on a first substrate 24. An array of delivery fibers 16 is coupled to an array of LED's 192 by an array

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of microlenses 190. The array of LED's 192 are attached on a surface of a mounting assembly or module 194 such as printed circuit board. Separate LED drivers 198 are also attached to the mounting assembly 194. A fiber optic collection array 15 is mounted in close proximity to the capillaries 26. In operation, light from the array of LED's is coupled to fibers 16 in sequence. The distal ends of the fibers are each in close proximity to a window on a capillary tube. In a preferred embodiment of the system, each capillary has a corresponding delivery and collection fiber. The LEDs preferably emit light having wavelengths in the range between 430-500 nm. One dye, for example, has an absorption band with a peak of about 495 nm. For this dye, it is preferable to have about 0.6 - 0.8 mW per channel with a wavelength in the range of 470-500 nm. This provides a substantial reduction in the power needed compared with available lasers where the light is divided into 8 channels, for example. This also eliminates the need for an optical splitter and a modulator or chopper for each channel that are necessary for use with the single laser. As each LED in the array can be driven separately by a compact low cost driver 198, a single controller can actuate each LED in temporal sequence to improve the signal to noise ratio.

Figure 12 shows another preferred embodiment of the invention where the LED's 192 are placed in close proximity to the capillaries 26. The array of LED's 192 are attached to the surface of a mounting assembly 194. The array of LED's 192 are optically coupled to the capillaries 26 by means of an array of microlenses 190 which are also attached to the mounting assembly 194. Separate LED drivers are similarly attached to the mounting assembly 194. A controller can also be mounted on the same module 194 to operate the drivers. The controller can be connected to the personal computer 23. The distal ends of a fiber optic collection array 15 is mounted in close proximity to the capillary tubes 26.

Figure 13 shows a detailed view of the mounting assembly 194. An array of LED's 192 are attached to the mounting assembly 194. The array of LED's 192 are coupled to an array of LED drivers 198 which are used to independently control each LED 192. An array of microlenses 190, also attached to the mounting assembly 194, is used to couple the array of LED's 192 through window 202 to the

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array of channels 204 in substrate 200. An array of detectors 195, used to sense any fluorescence in the channels 204 are also attached to the mounting assembly 194.

An array of microlenses 190 are also used to couple the detectors 195 to the capillaries. A control processor 197 and an electronic filter 199 are also attached to the mounting assembly 194. The electronic filter 199 is used to limit the frequency range and intensity of the signal that the controller receives from each corresponding detector 195. The filter 199 allows only selected light being amplitude modulated at a certain frequency of the fluorescence of the dye in the channels 204 be received by the computer 23. This prevents any crosstalk or stray emissions from neighboring

10 channels.

Figure 14 shows a graph illustrating the narrow bands at which the intensity of light of four LEDs in an array are filtered. The vertical axis represents light intensity and the horizontal axis represents light modulation frequency. The intensity of the light emitted by each of the LED's in the array can be independently modulated.

In another embodiment of the invention, the light emitting devices 192 are an array of semiconductor lasers, such as gallium nitride or indium gallium nitride, emitting at a wavelength in the range of 400 - 500nm. Other semiconductor lasers emitting in the visible or near infrared, for example, gallium arsenide, indium gallium arsenide, aluminum gallium arsenide, indium gallium arsenide phosphide or gallium indium phosphide materials can also be used. Lasers can provide improved sensitivity for certain dyes in which the laser wavelength is well matched to the peak absorption of the dye. A laser array emitting in the range of 700-800nm, for example, at 780nm is suitable for certain applications instead of LEDs that can be used in the red portion of the visible spectrum i.e. in the range of 600-700nm.

Figure 15 shows another preferred embodiment of the system of the present invention having a plurality of sources emitting at different wavelengths. This embodiment provides a multi-analyte capability, an increased variety of analysis modes and DNA sequencing operations. A plurality of light sources such as semiconductor lasers 300 can be modulated at a different frequency by modulators 302 so that the combined beams contain multiple modulation frequencies. Each

modulator 302 is a single frequency generator that supplies an alternating current (AC) at a predetermined single frequency. A current source 304 is coupled to the semiconductor lasers and supplies each laser with a direct current (DC). Thus, each laser 300 is supplied by an AC current from a modulator 302 and a DC current from the current source 304. The output of each laser 300 can be a sinusoidal function. Using a lens system 306, the light emitted from the lasers 300 is optically coupled into a single optical fiber 308. The optical fiber 308, carries light to the sample-carrying capillaries, or capillary 310 as shown in Figure 15. In a preferred embodiment, each capillary can have a set of a plurality of lasers as its light source. Thus, the system of a plurality of lasers is replicated for each capillary. The cost of semiconductor lasers is economical to make this a cost effective embodiment. In an alternative embodiment, a splitter can be coupled to the end of the fiber 308 which splits the light emitted from the lasers 300 and provides an optical input into a number of capillaries. The power of the lasers determines how many capillaries can be coupled to the same single optical fiber.

In another preferred embodiment, a line generator is used as opposed to the optical fiber 308. Light from each of the lasers illuminates a region proximal to the input of a plurality of capillaries such as capillary 310. A plurality of capillaries receive light input from the illuminated region. The detected fluorescence signal is collected by the light collection fiber 312. This detected fluorescence signal generates a photocurrent that contains multiple frequencies. The photocurrent is detected by the photodetector 314. The photodetector 314 provides an input into the synchronous detector 316. The synchronous detector 316 detects a signal only if the signal has a frequency that is defined by the modulators 302. The ratio of the amplitudes of the different frequencies contained in the detected fluorescence signal depends on the fluorescent label detected by the light beam. The identification of molecular species translates into the identification of frequency signatures. The synchronous detector can be a single frequency detector including filters. Each filter detects only one frequency that corresponds to a modulator 302 generated frequency.

In the alternative, the synchronous detector is a lock-in amplifier that is connected to the modulator as shown in Figure 15. The lock-in amplifier provides a better signal to noise ratio than a frequency detector with filters. The lock-in amplifier detects a signal having the same frequency and phase as the frequency
5 generated by the modulator. A computer 318 can be used to store, display and/or analyze the results of the multi-color, CGE system. In the alternative, referring again to Figure 1, the light source 12 can emit a plurality of wavelengths.

Figure 16A shows a graph illustrating three different absorption peaks of three dyes which label different DNA bases. The graph further illustrates three
10 different emission peaks which are detected simultaneously by a single detector. A two laser system is used in this preferred embodiment to identify up to three molecular species and can be used for DNA sequencing (with unlabeled fourth base).

Figures 16B-16D graphically illustrate the frequency signature
15 corresponding to the labels. Thus, color of emission is not used for detection of molecular species. The detection optics is simplified by the system of encoding the labels as frequency signatures as well as having a much wider selection of dye labels available.

Figure 17A shows a graph illustrating four different absorption peaks of four
20 dyes which label different DNA bases. The graph further illustrates four different emission peaks which are detected simultaneously by a single detector. A three laser system is used in this preferred embodiment to identify six or more species.

Figures 17B-17E graphically illustrate the frequency signature corresponding to the labels. There is a wide selection of lasers within the range of 600 nm to 980
25 nm that are currently available. Further, recent development of visible and near IR sources has also generated a wide selection of dyes available for labeling. An exemplary tabulation of dyes, such as those supplied by Synthetic Genetics, Inc. of San Diego, California is provided in Table I. Further, Table II lists exemplary Rhodamine dyes that are available for use with the system of the present invention.

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Table I

	Dye	Color	Absorption Maximum(nm)	Emission Maximum(nm)	Molar Extinction Coefficient(cm ⁻¹ M ⁻¹)
5	_Dabcyl	-----	453	None	32,000
	Cy2	Green	489	506	150,000
	Fluorescein (FITC)	Green	494	525	73,000
	FAM(Carboxyfluorescein)	Green	496	516	83,000
	TET(Tetrachlorofluorescein)	Orange	521	536	73,000
10	HEX(Hexachlorofluorescein)	Pink	535	556	73,000
	Cy3	Orange	550	570	150,000
	TAMRA (Carboxytetramethyl rhodamine)	Rose	565	580	89,000
	Cy3.5	Scarlet	581	596	150,000
15	ROX(carboxy-x-rhodamine)	Red	575	602	82,000
	Texas Red	Red	596	615	85,000
	Malachite Green	Green	630	None	76,000
	Cy5	Far Red	649	670	250,000
	Cy5.5	Near-IR	675	694	250,000
20	Cy7	Near-IR	743	767	250,000
	FluorX	Green	494	520	68,000

Molecular Probes Dyes (all are \$200 for 0.2 μ mol and \$300 for 1.0 μ mol-HPLC purification included)

	AMCAS	----	353	437	19,000
25	Cascade Blue	Blue	396	410	29,000
	BODIPY FL	----	502	510	82,000
	BODIPY 530/550	----	534	551	77,000
	BODIPY 493/503	----	500	509	79,000
	BODIPY 568/569	----	559	568	97,000
30	BODIPY 564/570	----	563	569	142,000
	BODIPY 576/589	----	575	588	83,000
	BODIPY 581/591	----	581	591	136,000
	BODIPY FL-X	----	504	510	85,000
	BODIPY TR-X	----	588	616	68,000
35	BODIPY TMR	----	544	570	56,000
	BODIPY R6G	----	528	547	70,000
	BODIPY R6G-X	----	529	547	73,000
	BODIPY 630/650-X	----	625	640	101,000
	Marina Blue	Blue	362	459	19,000
40	Oregon Green 500	Green	499	519	78,000
	Oregon Green 514	Green	506	526	85,000
	Oregon Green 488	Green	494	517	84,000
	Pacific Blue	Blue	416	451	36,000
	Rhodamine Green	Green	504	532	78,000
45	Rhodol Green	Green	496	523	63,000
	Rhodamine Green -X	Green	503	528	74,000
	Rhodamine Red -X	Red	560	580	129,000
	Texas Red - X	Red	583	603	135,000

Table II

		$\lambda_{\text{abs}}[\text{nm}]$	$\lambda_{\text{em}}[\text{nm}]$	$\tau[\text{ns}]$	Φ_1	
5	MR200-1	Ethanol	620	641	4.02	90
		H ₂ O	616	636	3.62	---
	JAS1-DS	Ethanol	630	657	3.41	54
		H ₂ O	628	654	2.16	---
	JA66	Ethanol	635	659	3.89	70
		H ₂ O	632	655	2.46	---
	JA93	Ethanol	667	691	3.43	56
		H ₂ O	666	687	1.79	---
	MR116	Ethanol	652	672	2.19	---
		H ₂ O	649	668	1.29	---
	MR121	Ethanol	650	670	3.64	---
		H ₂ O	662	676	1.89	---

EQUIVALENTS

While this invention has been particularly shown and described with
 10 reference to preferred embodiments thereof, it will be understood by those skilled in
 the art that various changes in form and details may be made therein without
 departing from the spirit and scope of the invention as defined by the appended
 claims.

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Claims

We claim:

1. A channel fluorescence analysis system comprising:
a plurality of light sources;
5 an optical coupler;
a plurality of channels, each channel positioned to receive light through the optical coupler from at least two of the light sources, each channel containing a material that fluoresces in response to the received light; and
10 a detector system optically coupled to the plurality of channels.
2. The system of Claim 1 further comprising a substrate to position at least a portion of the plurality of channels.
3. The system of Claim 1 wherein the plurality of light sources are lasers.
4. The system of Claim 3 wherein the lasers emit light having a wavelength in a
15 range between 600 and 980 nm.
5. The system of Claim 1 wherein the optical coupler is a microlens array.
6. The system of Claim 1 wherein the optical coupler is a fiber optic delivery system.
7. The system of Claim 1 wherein the optical coupler comprises a microlens
20 array and a fiber optic delivery system.
8. The system of Claim 5 further comprising a second optical coupler including a microlens array.

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9. The system of Claim 1 further comprising a second optical coupler including a fiber optic collection system.
10. The system of Claim 9 wherein the second optical coupler comprises a microlens array and a fiber optic collection system.
- 5 11. The system of Claim 1 wherein the plurality of light sources are controlled a by driver circuit.
12. The system of Claim 11 further comprising separate driver circuits for each light source that modulate light intensity of different sources at different frequencies.
- 10 13. The system of Claim 12 wherein the plurality of light sources and the separate driver circuits are mounted to a common module.
14. The system of Claim 1 wherein the detector system comprises separate detectors which are electrically connected to an electronic filter and a processor.
- 15 15. The system of Claim 1 wherein the light sources comprise light emitting diodes (LEDs).
16. The system of Claim 15 wherein the LEDs emit light in a range of 430nm to 500nm.
17. The system fo Claim 15 wherein the LEDs comprise InGaN or GaNa.
- 20 18. The system of Claim 1 further comprising at least one supporting substrate to support a plurality of optical pathways.

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19. The system of Claim 1 wherein the light sources emit light in a range of 600nm to 800nm.
20. A method of fluorescence analysis comprising:
providing a light source;
5 coupling light from the light source having a modulated intensity to an array of channels, each channel positioned to receive light from an optical coupler, the channels containing a material that fluoresces in response to the light; and
detecting light from the channels with a detector system optically
10 coupled to the array of channels.
21. The system of Claim 20 wherein the light source is a semiconductor laser.
22. A method of connecting a fiber optic delivery system of a channel fluorescence system to a semiconductor laser comprising:
mounting a semiconductor laser on an assembly;
15 optically coupling the semiconductor laser to a proximal end of an optical fiber; and
optically coupling a distal end of the optical fiber to a channel of a channel fluorescence system.
23. The method of Claim 22 further comprising aligning an array of optical
20 fibers with an array of channels containing a fluorescent material.
24. The method of Claim 22 further comprising coupling the semiconductor laser to the proximal end of the optical fiber with a microlens.
25. The method of Claim 22 further comprising coupling a plurality of semiconductor lasers to a plurality of channels.

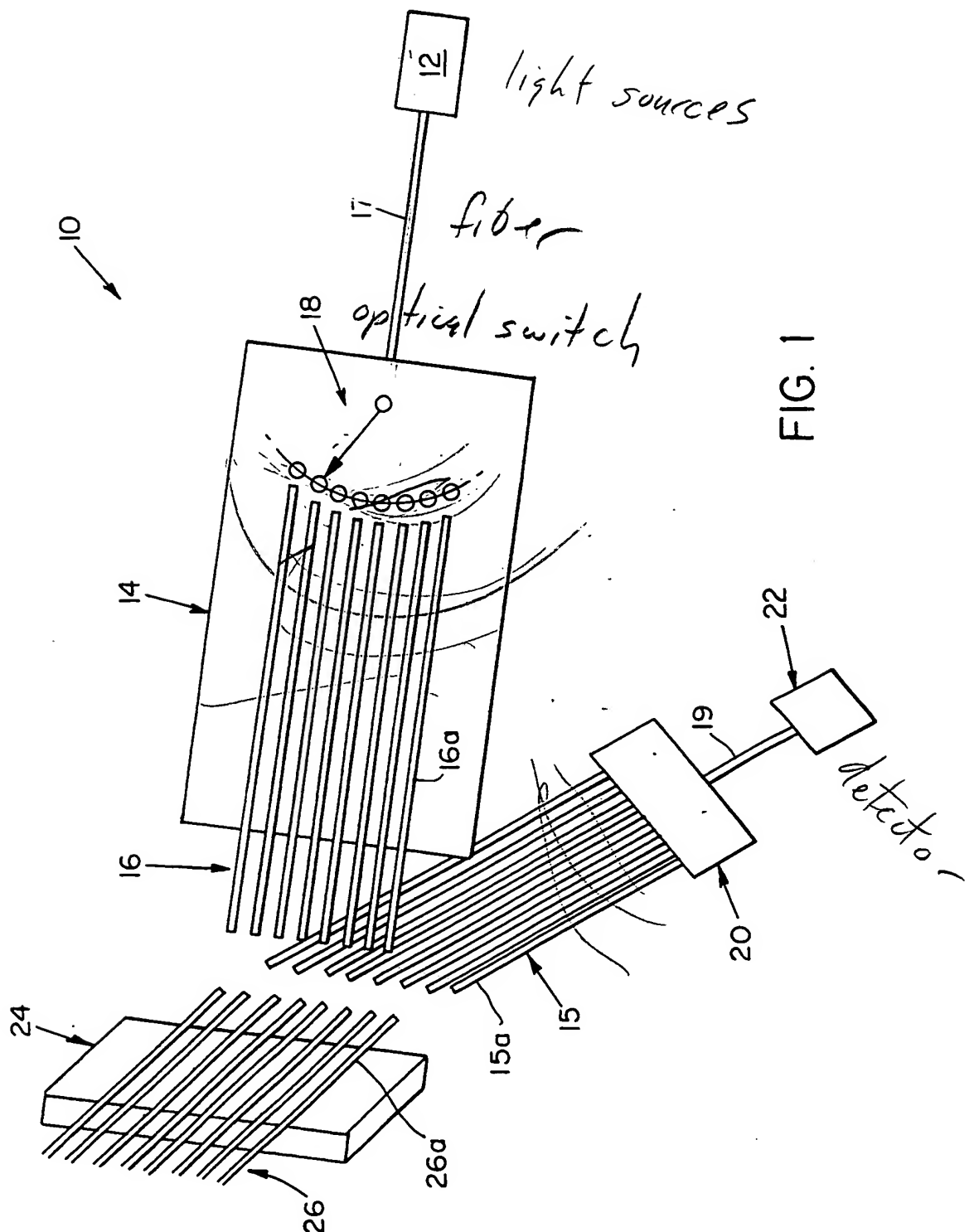
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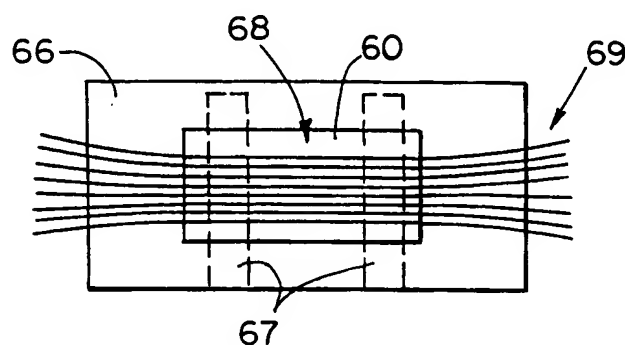
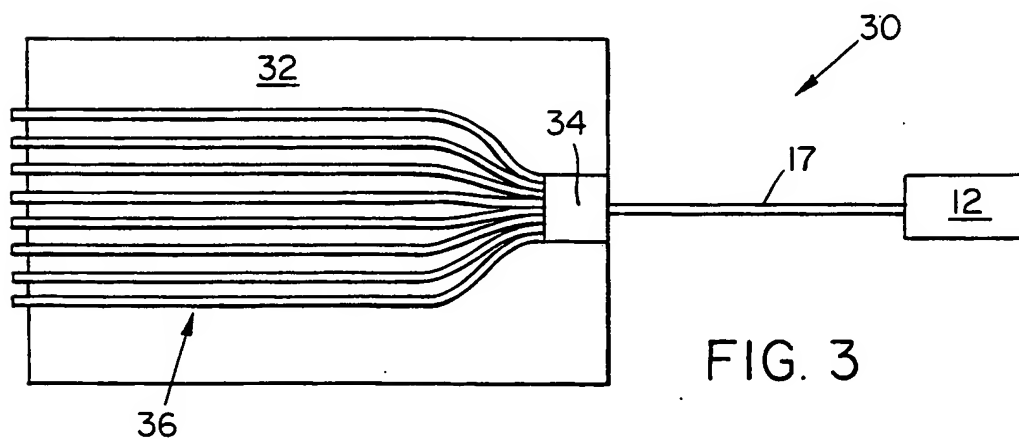
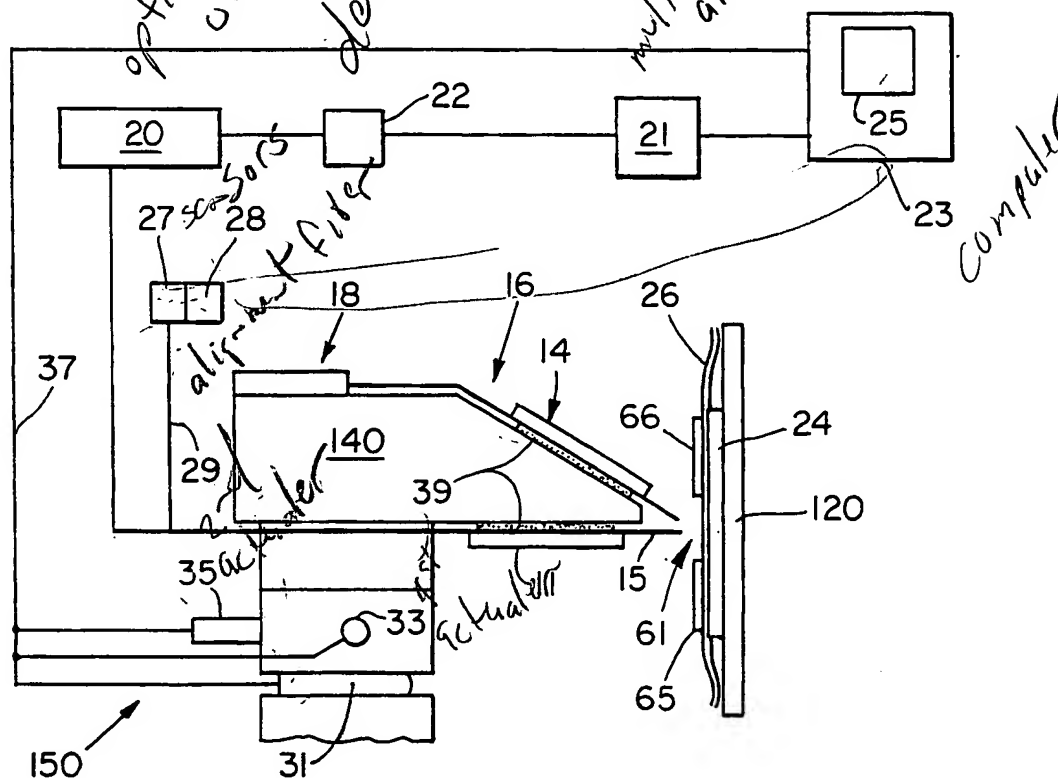
26. The method of Claim 22 further comprising controlling an intensity of light emitted by the laser with a driver circuit.
27. The method of Claim 22 further comprising modulating an intensity of light emitted by the laser.
- 5 28. The method of Claim 22 further comprising optically coupling the channel to a detector.
29. The method of Claim 28 further comprising filtering a signal from the channel.
- 10 30. A method of using a capillary gel electrophoresis system comprising:
coupling a plurality of semiconductor lasers which emit a plurality of wavelengths to a plurality of capillaries using a fiber optic delivery system;
modulating the semiconductor lasers; and
detecting emitted fluorescence from the capillaries.
- 15 31. The method of Claim 30 further comprising modulating lasers with different wavelengths at different modulation frequencies.
32. The method of Claim 30 wherein the laser emits the laser light in a range of 600nm to 980nm.
33. The method of Claim 30 further comprising a synchronous detector.
- 20 34. The method of Claim 33 wherein the synchronous detector comprises a lock-in amplifier.
35. The method of Claim 30 further comprising coupling the capillaries to a detector with a fiber optic collection system.

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36. The method of Claim 30 further comprising connecting a computer to a detector system that detects the emitted fluorescence.
37. The method of Claim 30 further comprising modulating the lasers at different frequencies.
- 5 38. The method of Claim 30 further comprising electronically filtering detected signals.
39. The method of Claim 30 further comprising coupling light having a plurality of frequencies to each capillary.
40. The method of Claim 33 further comprising connecting a plurality of
10 photodetectors to the synchronous detector.

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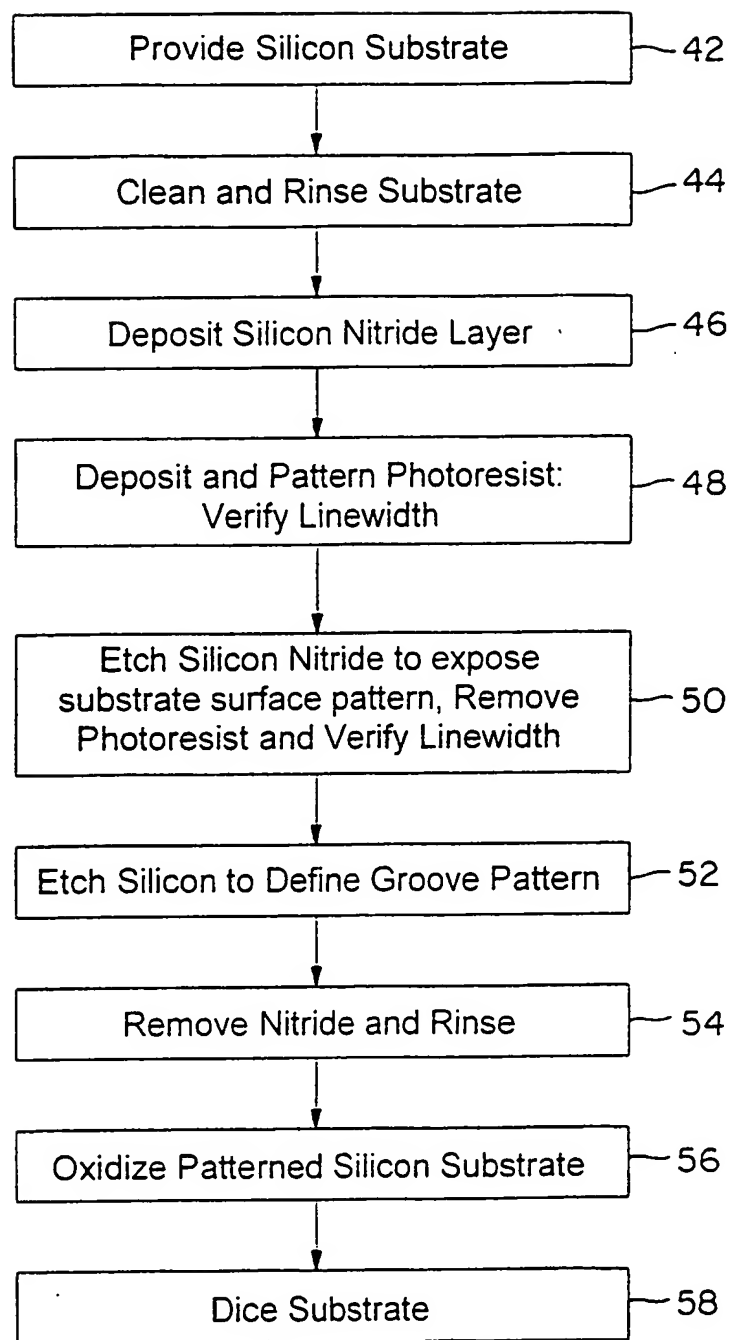


FIG. 4

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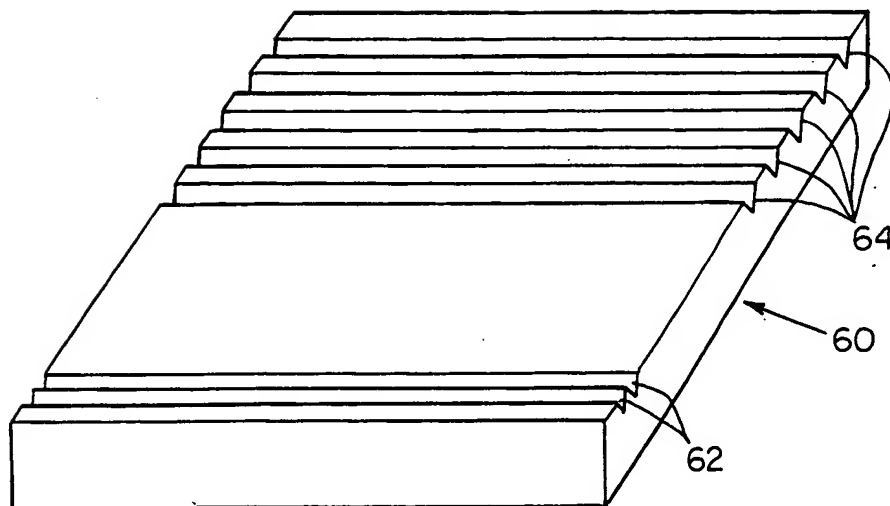


FIG. 6

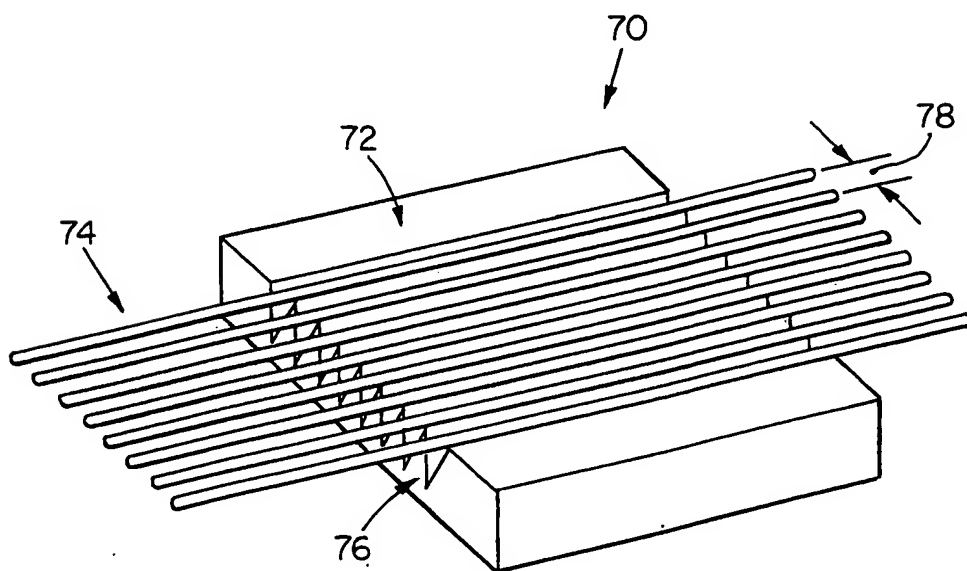


FIG. 7

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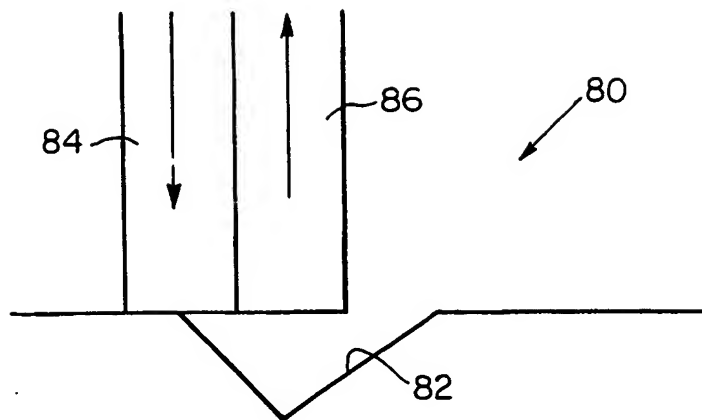


FIG. 8A

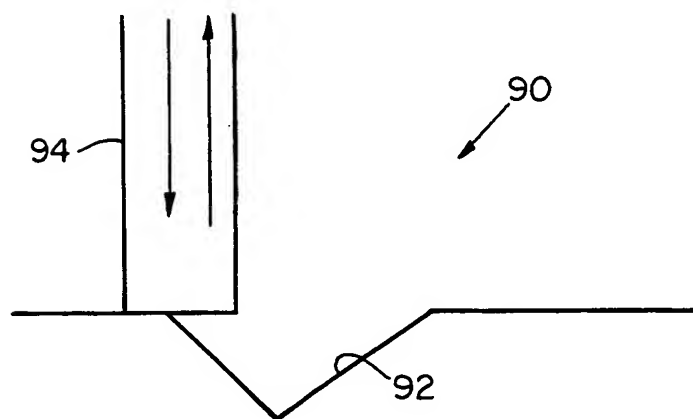


FIG. 8B

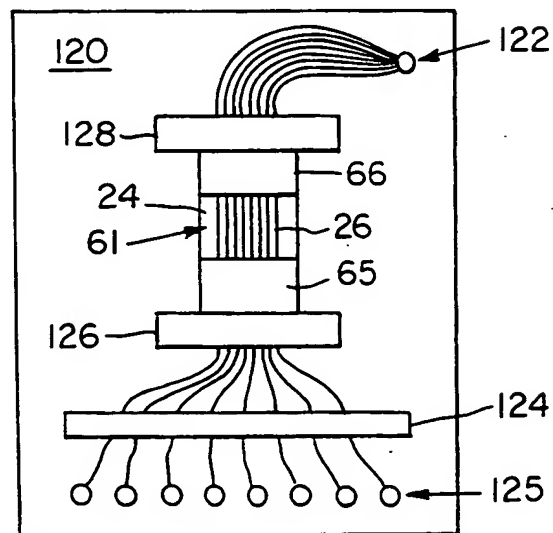


FIG. 10

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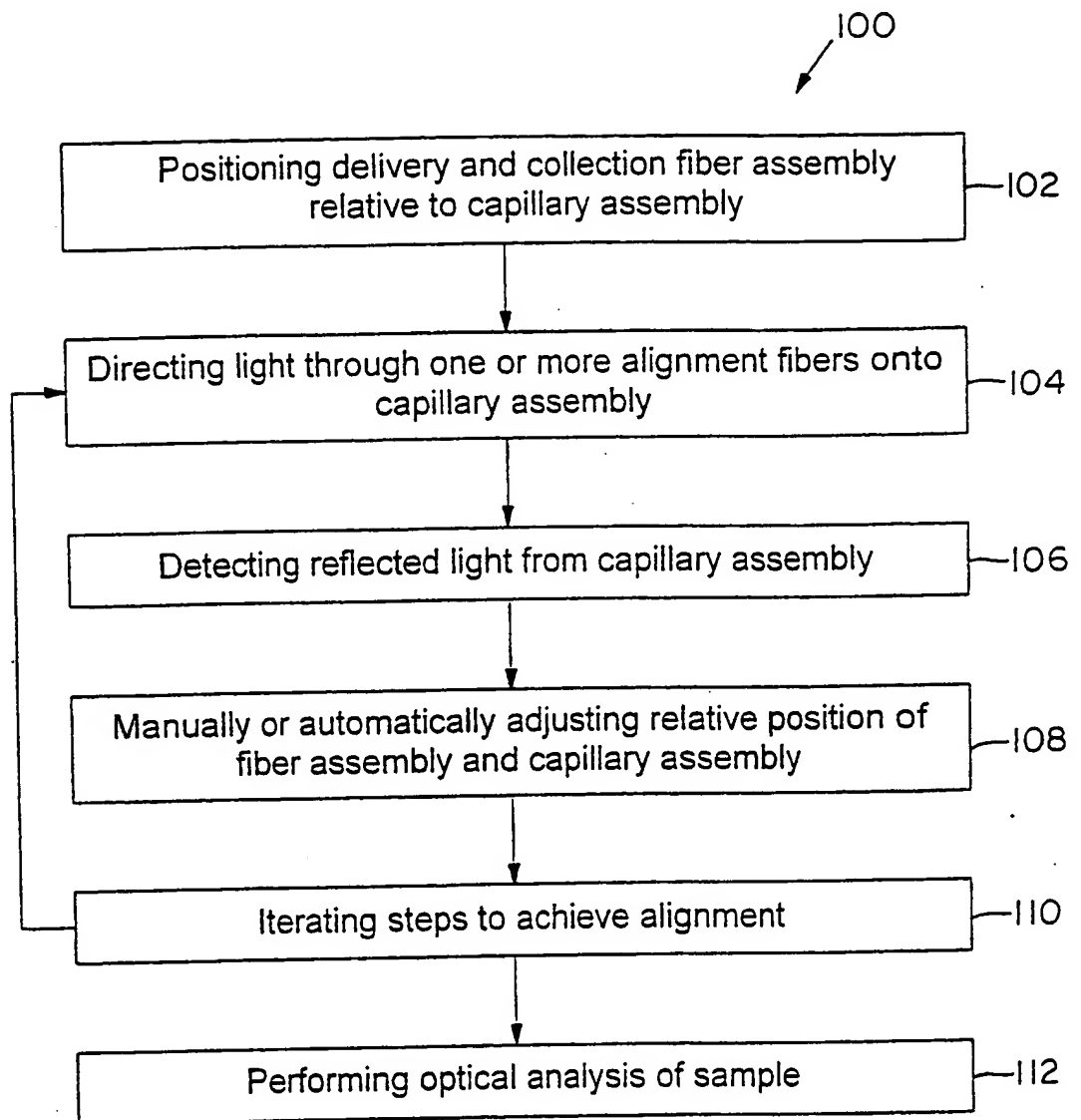


FIG. 9

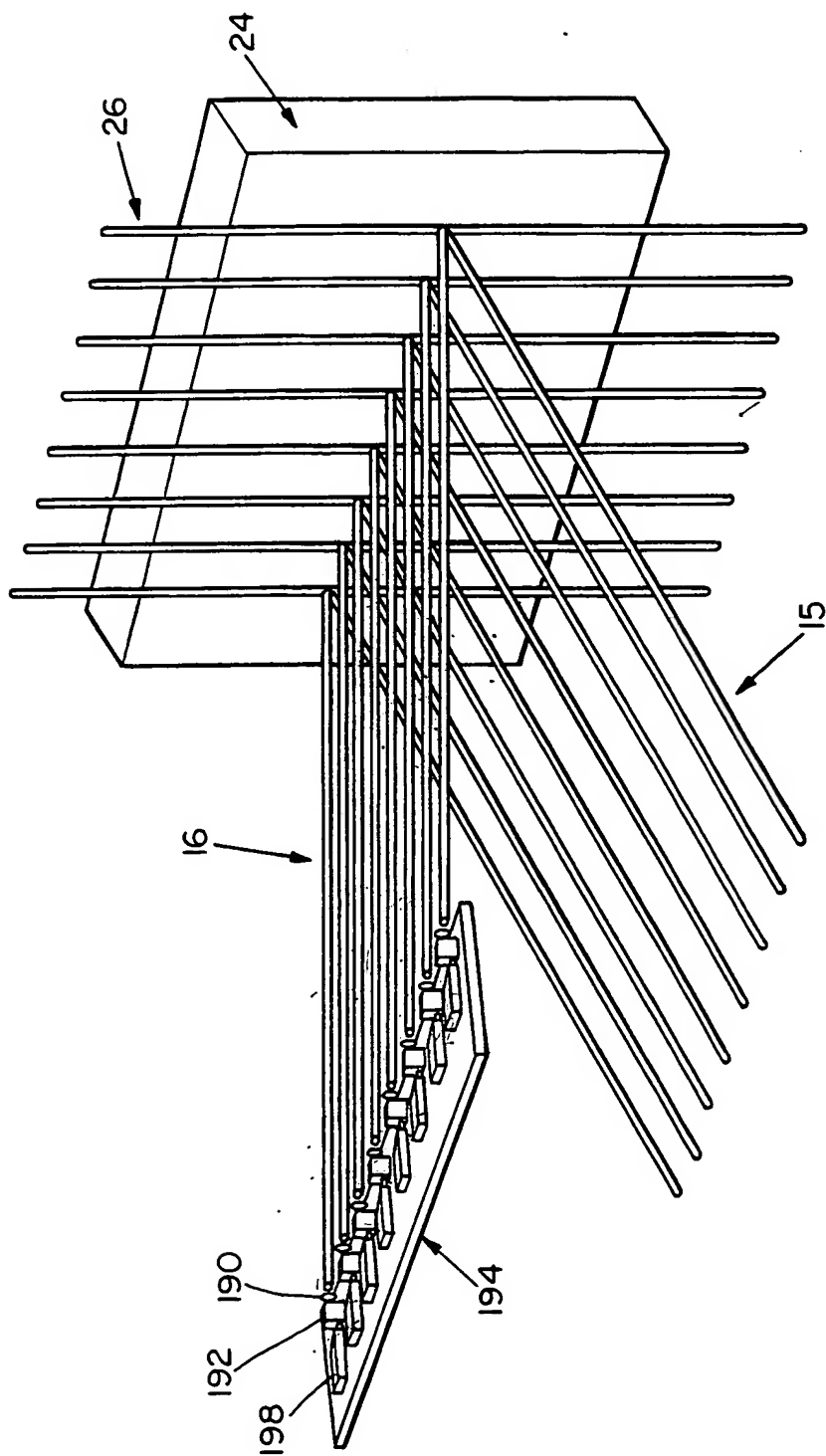
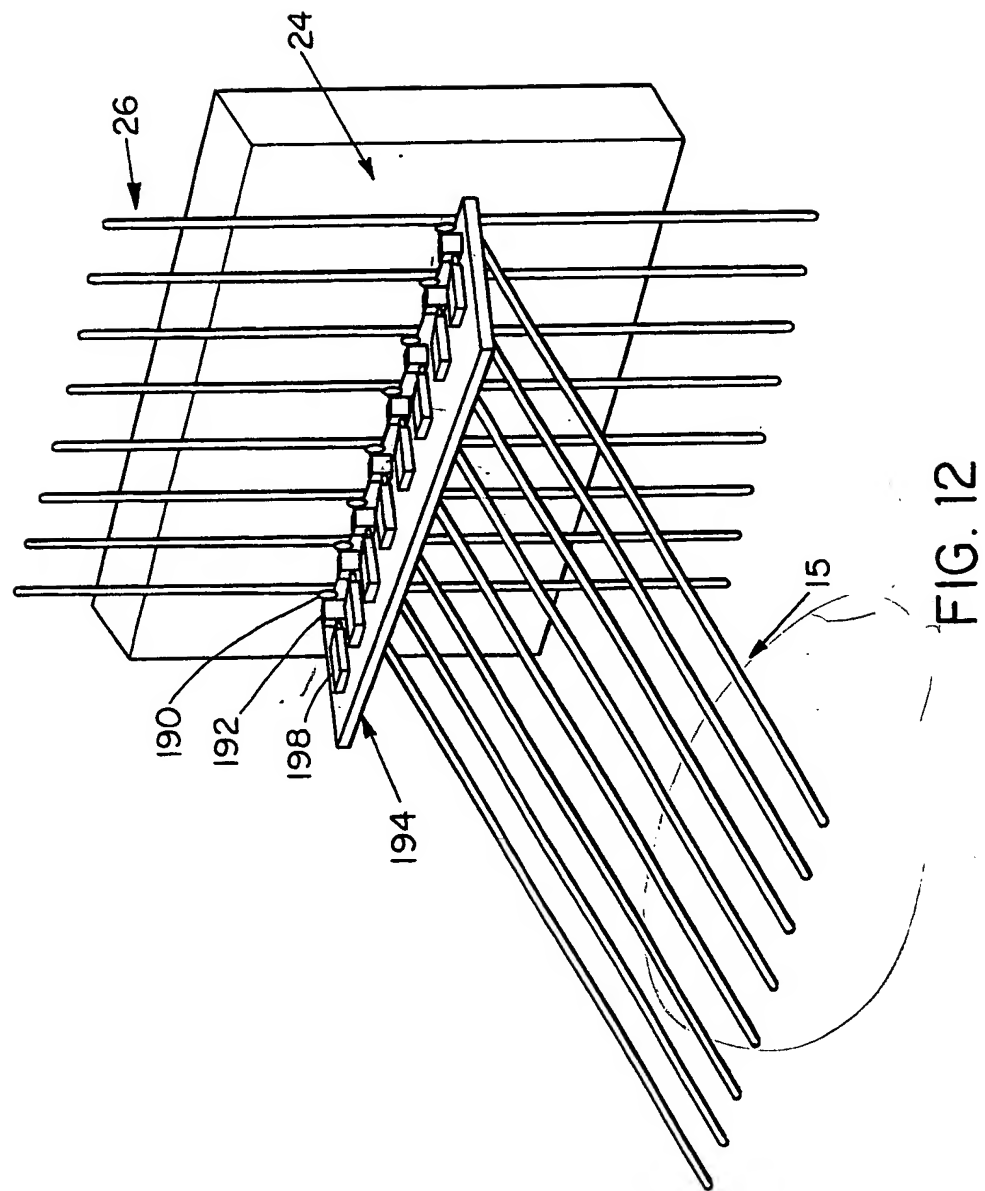


FIG. 11



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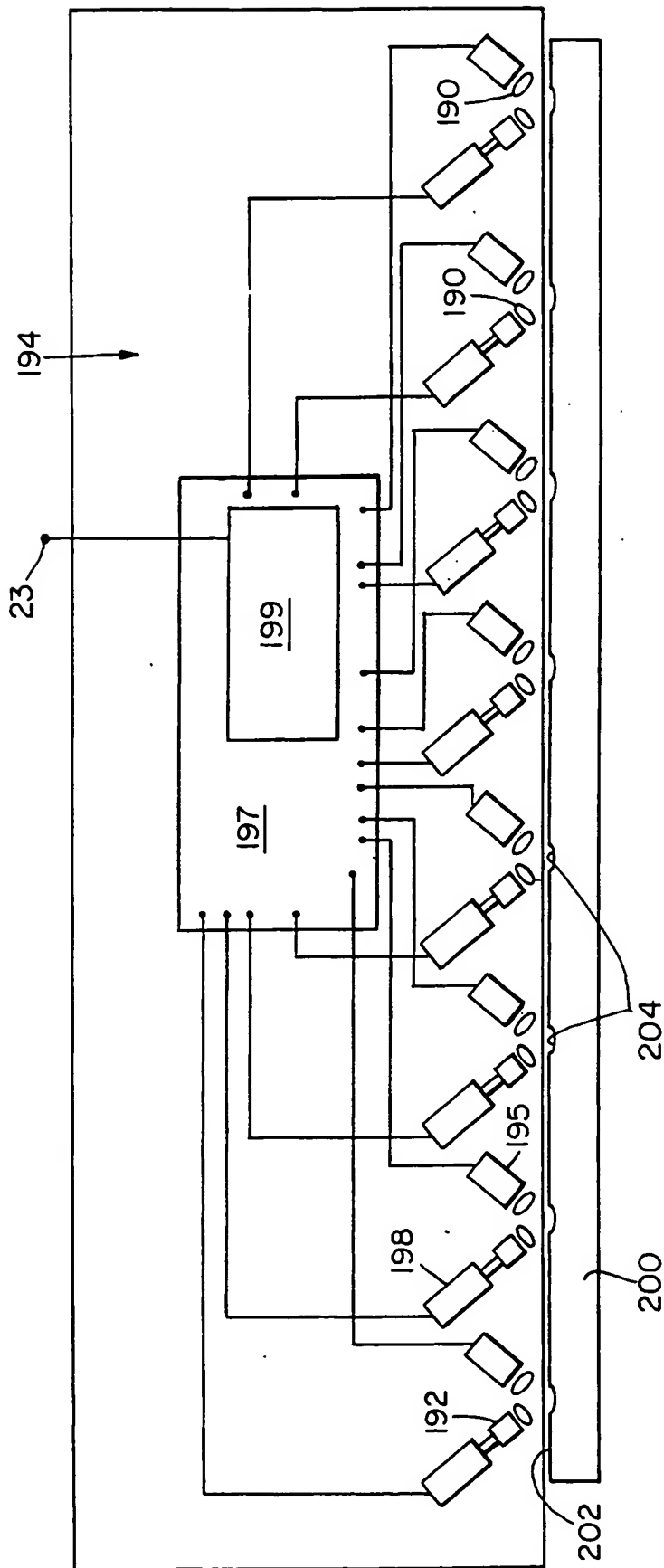


FIG. 13

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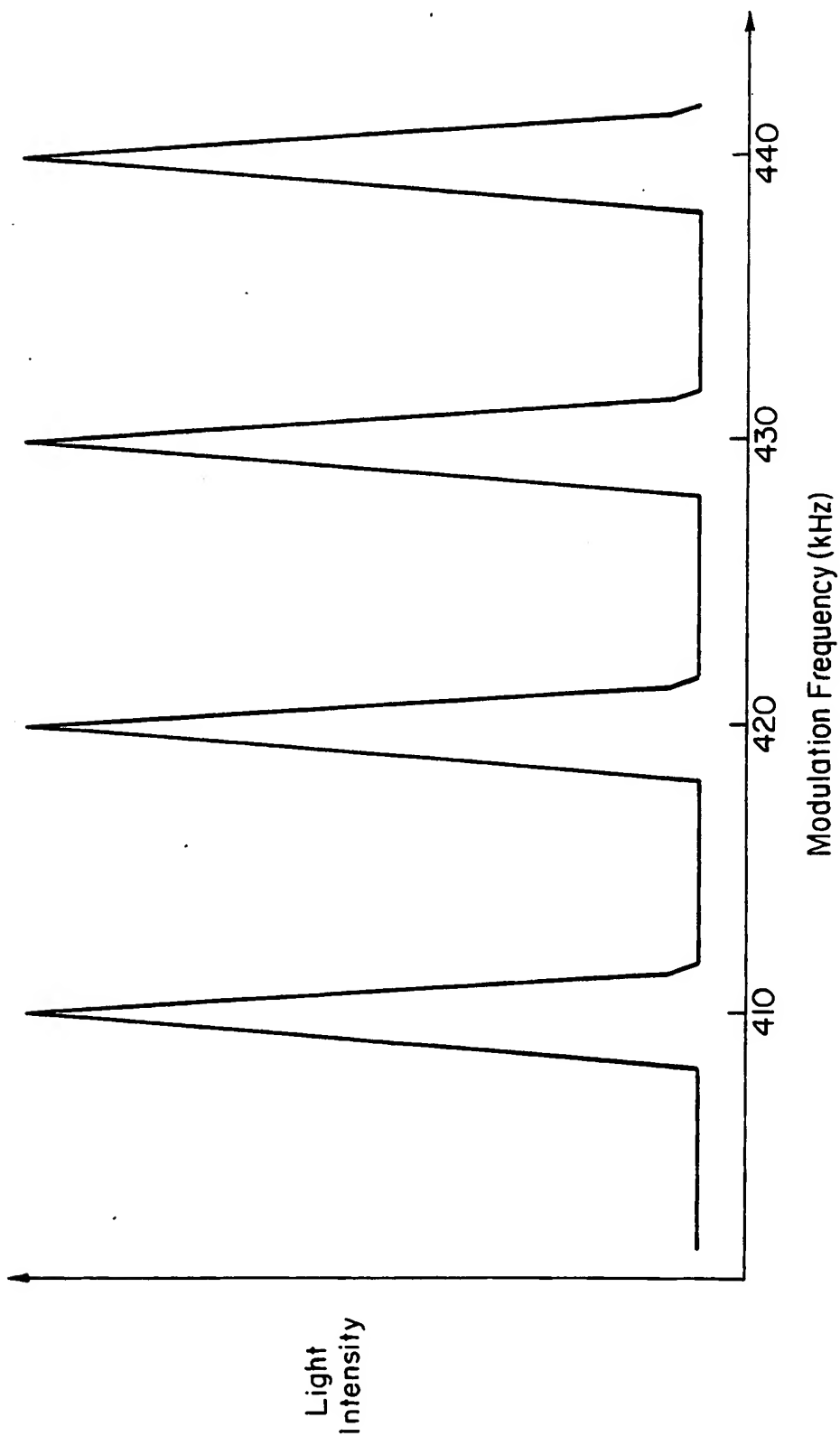


FIG. 14

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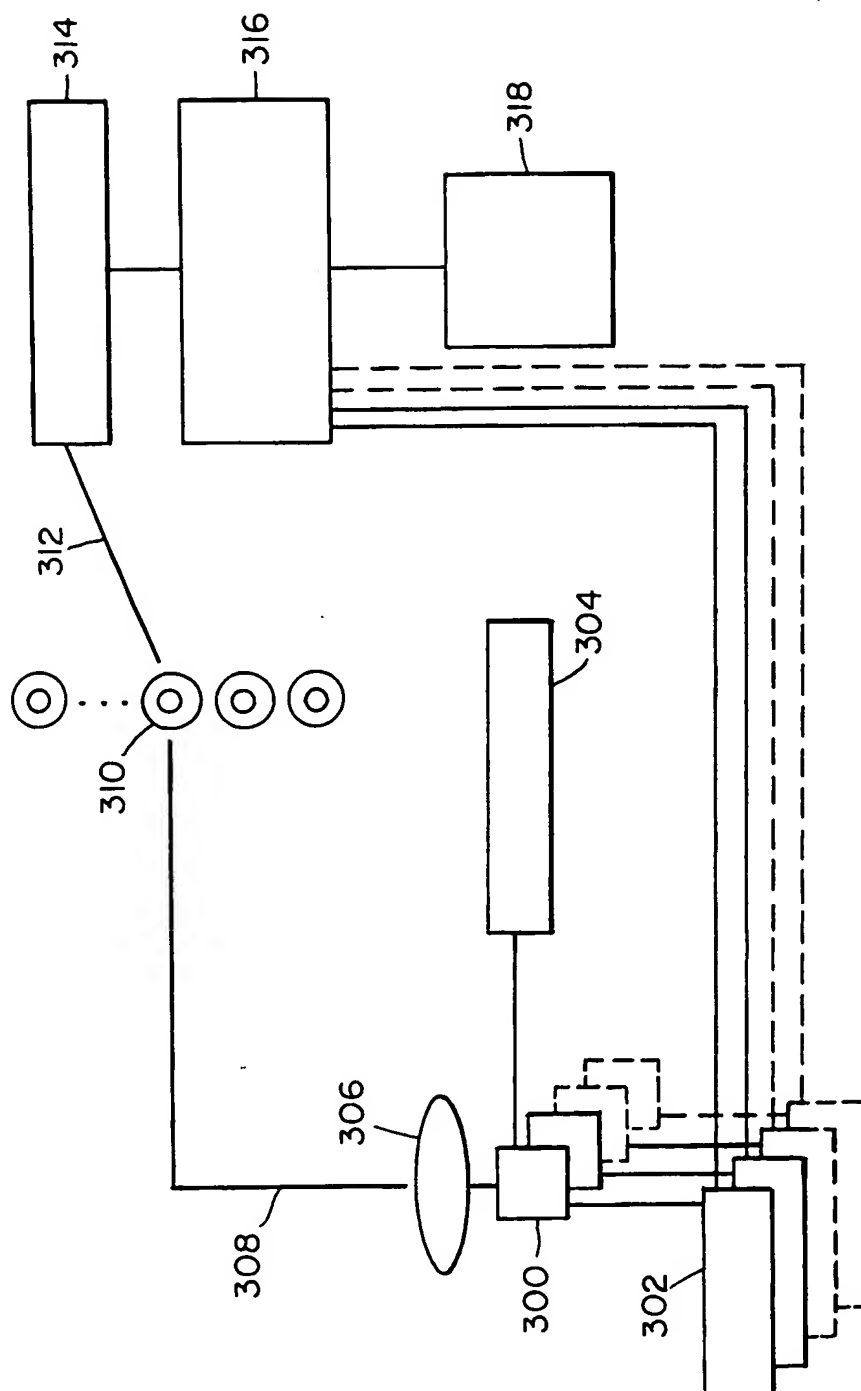


FIG. 15

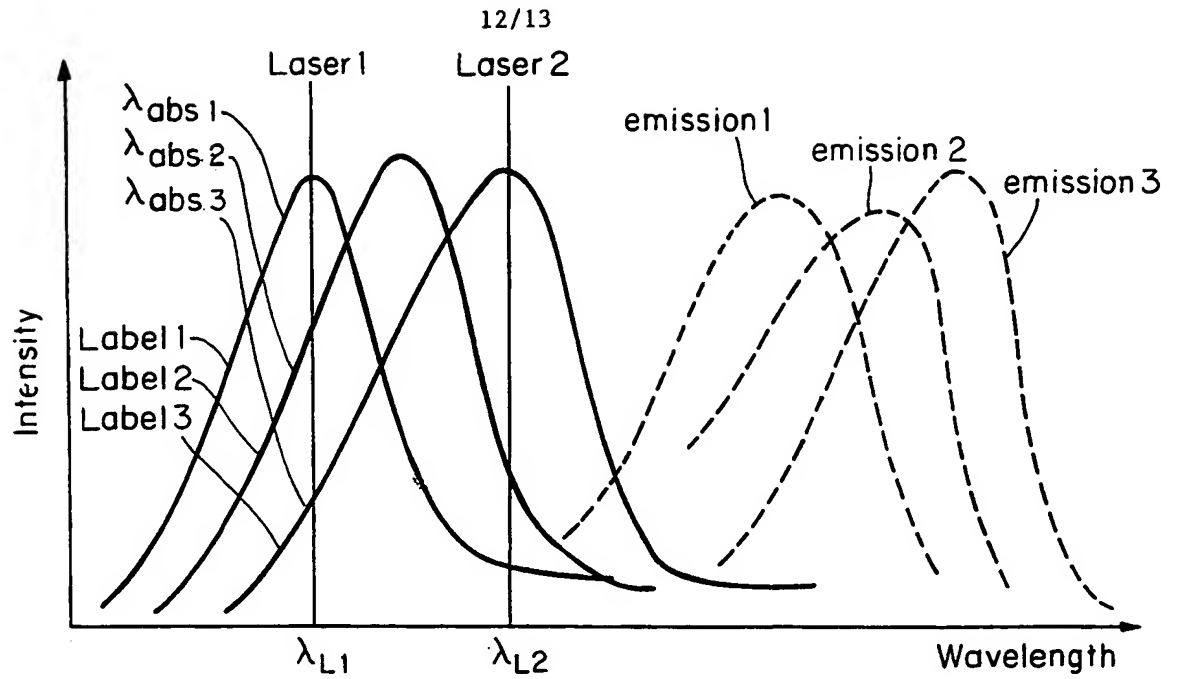
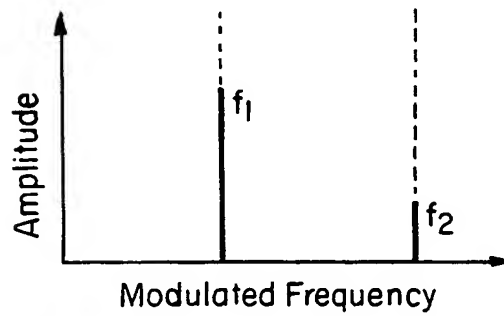
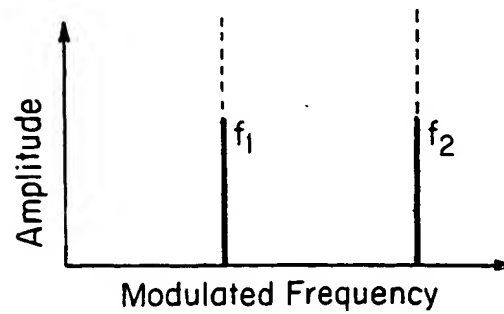


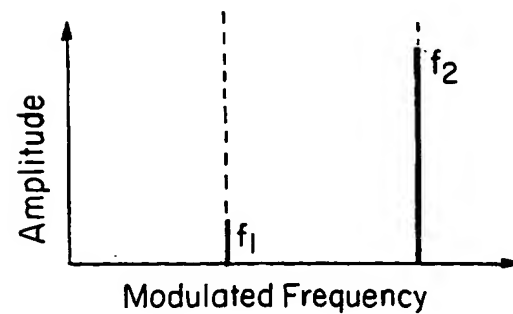
FIG. 16A



Label 1 Signature
FIG. 16B



Label 2 Signature
FIG. 16C



Label 3 Signature
FIG. 16D

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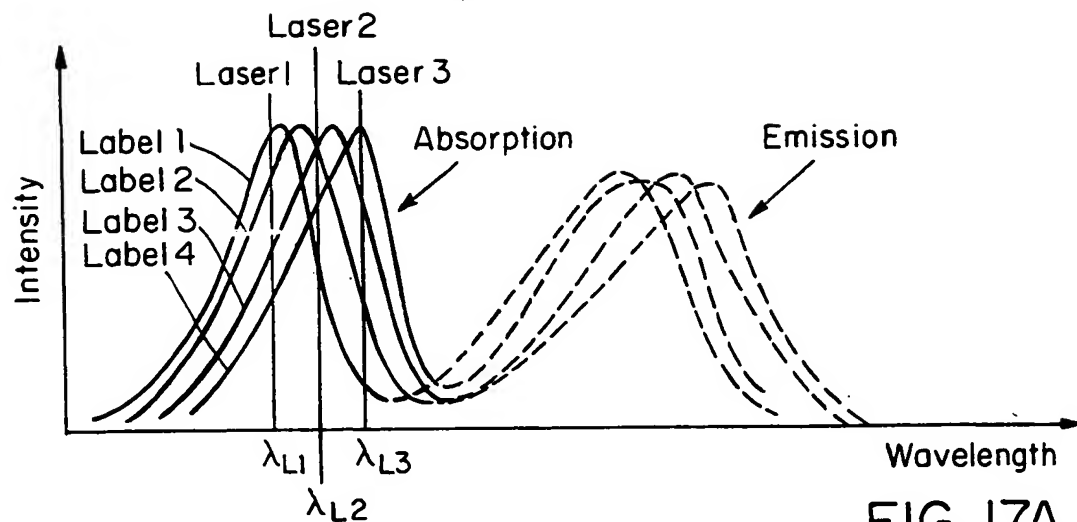
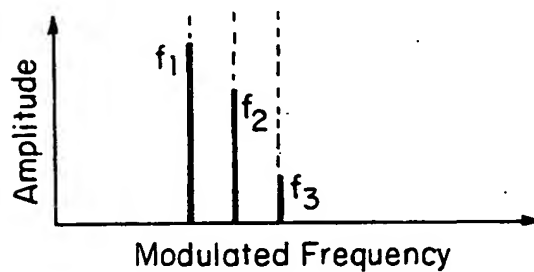
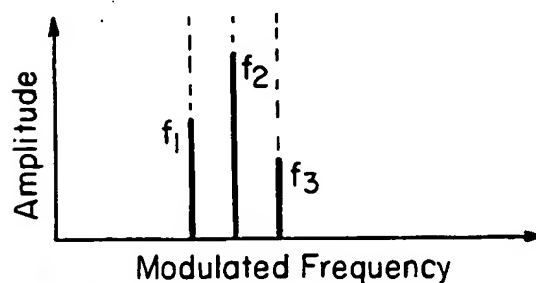


FIG. 17A



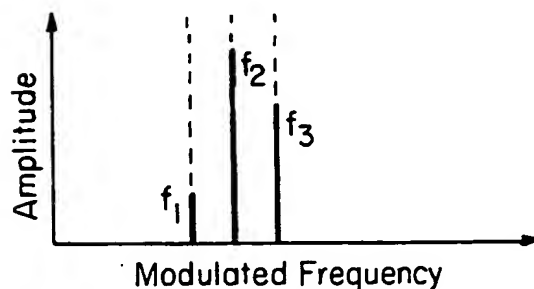
Label 1 Signature

FIG. 17B



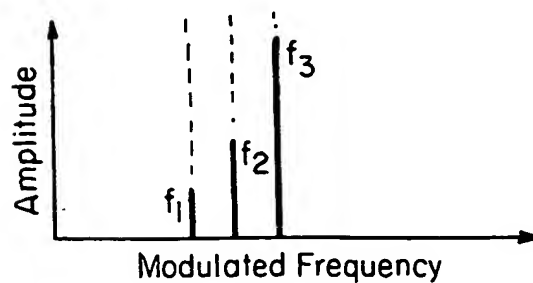
Label 2 Signature

FIG. 17C



Label 3 Signature

FIG. 17D



Label 4 Signature

FIG. 17E

Intern: 1st Application No
PCT/US 00/17919

Form PCT:ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 00/17919

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International Application No

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(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
11 January 2001 (11.01.2001)

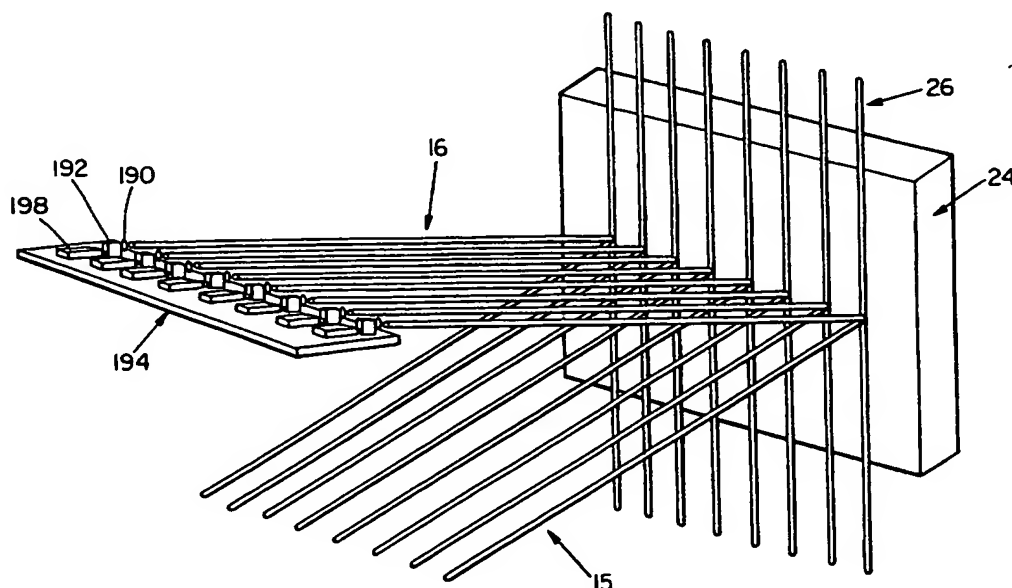
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- (21) International Application Number: PCT/US00/17919
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- (71) Applicant: CORNING APPLIED TECHNOLOGIES, INCORPORATED [US/US]; 14A Gill Street, Woburn, MA 01801 (US).
- (72) Inventors: MELMAN, Paul; 111 Brandeis Road, Newton, MA 02159 (US). TABASKY, Marvin; 11 Clinton Road, Peabody, MA 01960 (US).
- Published:
— With international search report.

[Continued on next page]

(54) Title: SYSTEM AND METHOD FOR MOLECULAR SAMPLE MEASUREMENT



(57) Abstract: A system for aligning the optical components of a chemical analysis system in which capillaries or optical fibers are supported by a substrate. The system provides for alignment of elements of an electrophoresis system in an efficient high sampling rate capability. The system provides multiple sources, such as lasers or LEDs that are optically coupled to each capillary or channel. Multi-analyte capability, a variety of analysis modes and DNA sequencing operations are applications of systems using a plurality of lasers per channel.

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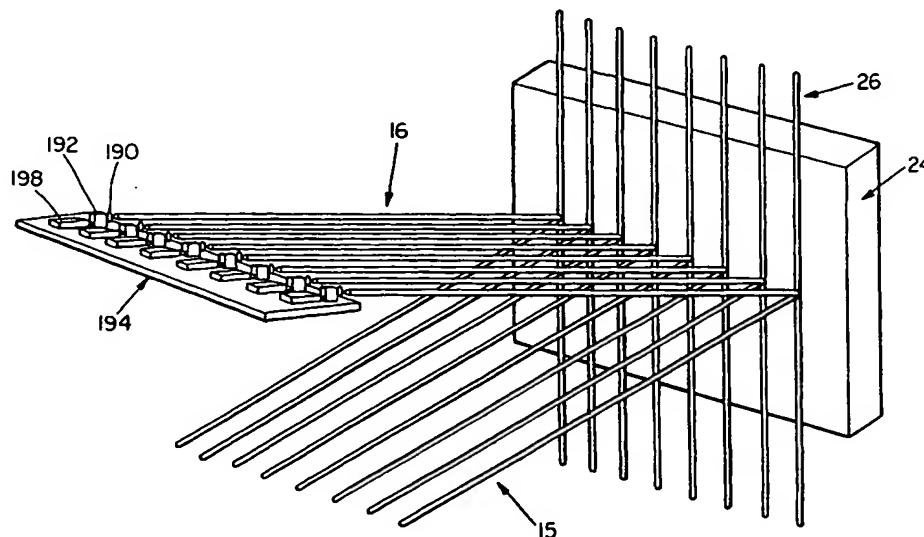
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- (21) International Application Number: **PCT/US00/17919**
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- (30) Priority Data:
09/345,904 **1 July 1999 (01.07.1999)** **US**
- (71) Applicant: **CORNING APPLIED TECHNOLOGIES, INCORPORATED [US/US]; 14A Gill Street, Woburn, MA 01801 (US).**
- (72) Inventors: **MELMAN, Paul; 111 Brandeis Road, Newton, MA 02159 (US). TABASKY, Marvin; 11 Clinton Road, Peabody, MA 01960 (US).**
- (74) Agent: **THIBODEAU, David, J.; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, MA 01742-9133 (US).**
- (81) Designated States (*national*): **AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.**
- (84) Designated States (*regional*): **ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).**
- Published:**
— *with international search report*

[Continued on next page]

(54) Title: **SYSTEM AND METHOD FOR MOLECULAR SAMPLE MEASUREMENT**



(57) Abstract: A system for aligning the optical components of a chemical analysis system in which capillaries or optical fibers are supported by a substrate. The system provides for alignment of elements of an electrophoresis system in an efficient high sampling rate capability. The system provides multiple sources, such as lasers or LEDs that are optically coupled to each capillary or channel. Multi-analyte capability, a variety of analysis modes and DNA sequencing operations are applications of systems using a plurality of lasers per channel.

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SYSTEM AND METHOD FOR MOLECULAR SAMPLE MEASUREMENT

BACKGROUND OF THE INVENTION

Capillary Gel Electrophoresis (CGE) is a sensitive method for analysis and identification of biological molecular systems. CGE is a relatively new analytical separation technique that can be applied to the analysis of a wide variety of compounds that provide for improved resolution over other existing techniques. Its use for increasing the rate at which DNA sequencing can be performed has been of particular interest. Because of its sensitivity, the technique is gaining acceptance in many laboratories and manufacturing operations of drug and chemical manufacturers worldwide. However, the instrumentation that is being used to produce the data using this technique is still relatively inefficient, complex and expensive. Although these systems can appear physically different from each other, they all contain the basic functional blocks required for this type of analysis. Each has a method of holding the capillaries, injecting samples therein, transmitting and collecting light, detecting a fluorescent signal from each sample being measured that is induced by the incident light energy, applying voltage to the capillaries, and outputting the collected data in some form.

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What these systems generally suffer from is that the techniques involve equipment that is not cost effective for high volume manufacturing, and consequently does not permit widespread use of this important analytical technique. The performance of a single capillary system depends on the method of sample
5 excitation and on the signal collecting optics. In multi-capillary systems precise alignment of delivery, collection and sample assemblies can be difficult. In free beam systems this has been done by visual inspection of reflected or transmitted laser light.

There is a continuing need for improvements in systems for performing
10 optical measurements of biological samples that are readily manufacturable, have low maintenance costs and provide for fast accurate analysis of a large number of samples.

SUMMARY OF THE INVENTION

This invention relates to a system and method for delivering light to
15 chemical or biochemical samples using an aligned optical fiber delivery system that couples light from a light source with an array of sample channels. Light from the samples is collected and detected for data analysis, presentation, and storage. The optical signal collection is accomplished by a second optical fiber system. In a preferred embodiment, the delivery and collection optical fiber systems are mounted
20 and permanently aligned on a mounting structure such that each channel or capillary is in the same plane as the delivery fiber and collection fiber for that capillary. The delivery and collecting fibers can be selected with respect to their core sizes and numerical apertures to satisfy the particular application requirements. The collecting fiber largely filters out the excitation light, reducing the detection noise and
25 improving the detection sensitivity. A multi-mode fiber can be used for this purpose. In an optical fiber CGE delivery and collection system, the collecting fiber fulfills the role of a spatial filter, lens and a light guide. The two fibers and the capillary are co-planar, enabling a practical and inexpensive method of fabricating a multichannel assembly. The spatial filtering of the undesired, noise-generating,

-3-

excitation light in the collecting fiber has improved performance over free beam systems where reflections dominate the fluorescence signal.

This fiber optical system presents a number of advantages over the free beam technology used in existing systems. There are no optical components other than
5 fibers, thereby reducing cost, complexity and size. Also, the geometry reduces the amount of excitation light reflected back to the collecting fiber, improving signal to noise ratio. Another advantage of this fiber system is simplification of multicolor detection in comparison with free beam optics where the focal length of lenses, or deflection angles are wavelength sensitive, making simultaneous focusing of
10 different colors difficult. This is not the case in a fiber based system where the emitted light fills substantially the same cone of light at the fiber output and input.

A preferred embodiment of the invention pertains to all fiber systems where the fiber and capillary assemblies are fabricated by affixing them on precision planar surfaces. This relies on highly precise features or grooves formed on a silicon wafer
15 or substrate, for example, by well known micromachining techniques. A large number of capillaries can be precisely aligned and measured with this system, thereby substantially increasing the rate of sample analysis. Arrays of capillaries or channels can be manufactured in multiples of 4 or 8, including 16 or 32, for example. Features are accurate to within 10 microns or less to provide the accurate
20 positioning necessary to achieve the desired measurement accuracy.

Another preferred embodiment of the invention relates to the use of the grooves or channels in the substrate instead of the capillary tubes to confine the gel. A quartz window can be attached to the grooved substrate to provide an optical window for all of the channels in an array. The window can also have a groove to
25 provide a symmetric cross-section to the channel.

Alignment features can also be incorporated in the substrates. An optical alignment system is described here where an alignment accuracy of less than 10 microns, and preferably of about 1 μm is employed. This method makes use of the precise geometry of the fiber and capillary assembly substrates.

30 The registration feature can be a single or a multiple groove structure depending on the method used. The optical registration technique involves detecting

a change in surface reflectivity when a fiber tip moves over a groove or a similarly reflecting feature in the reflecting surface. If the fiber position is fabricated precisely with the reflecting feature the change of reflectivity indicates the point of registration.

- 5 Another preferred embodiment of the invention includes a system and method for positioning an optical fiber relative to a measurement cell such as a capillary tube. In this system light emitted by an optical fiber is reflected by the capillary surface, for example, and the intensity of the sensed reflected signal is compared to a reference value. The comparison is used to stop the motion of the
- 10 optical fiber system when it is correctly positioned. A feedback control system can be used to automatically position either the optical fiber system, or the capillary system, relative to the other.

- Another preferred embodiment of the invention relates to the use of a light source which emits a plurality of wavelengths. For example, a plurality of lasers can
- 15 provide multiple color excitation. Multiple lasers are optically coupled to each capillary or channel. Thus, multiple lasers feed a single fiber that carries the light to the sample-carrying capillary. Each source can be modulated at a different modulation frequency so that the combined beams contain multiple modulation frequencies. Further, each source can be modulated separately which minimizes
- 20 cross talk during coherent detection. A single detector can detect multiple emission peaks simultaneously. The detected fluorescence signal generates photocurrent that contains multiple frequencies. The ratio of the amplitudes depends on the fluorescent label excited by the light beam. This embodiment provides a multi-analyte detection capability and expands the use of the system of the present
- 25 invention to perform a variety of analysis and DNA sequencing operations.

- Another preferred embodiment of the invention includes using a light emitting device such as a light emitting diode (LED) as the light source. The LED light source can provide the user with the option of using a variable intensity for each LED. Thus, the intensity of each LED signal can be modulated at a different
- 30 frequency and detected using an electronic filter for each detected signal that is tuned to the frequency of the corresponding LED. LEDs with different emission

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wavelengths can be used for different channels to match the absorption bands of different dyes that can be used in the different channels.

In a preferred embodiment, a plurality of semiconductor lasers can be used to irradiate the channels. For certain applications lasers can provide more efficient pumping of the dye and thereby improve sensitivity. For example, III-V semiconductor materials can be used to fabricate a solid state array of lasers emitting in the visible or near infrared range, and preferably between 400-500nm that are matched to the excitation band of a selected dye. Gallium nitride based lasers emitting at a center line of 417nm are available for this application, for example. Such a laser array can be optically coupled using a fiber array or with a lens array as described herein. InGaN based lasers can be used at longer wavelengths. Other regions of the visible or near-infrared up to 850nm can also be used with LEDs or semiconductor lasers based on GaAs or InGaAs.

A preferred method using two or more lasers emitting at different excitation wavelengths to illuminate each capillary. For example, three lasers emitting at three different wavelengths can be used to measure four different labels having different emission peaks. Each capillary in this four color analysis system can have three or four lasers that are optically coupled therewith using the optical systems described herein.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, features, and advantages of the invention will be apparent from the following more particular description of preferred embodiments of the invention, as illustrated in the accompanying drawings in which like reference characters refer to the same parts throughout the different views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principles of the invention.

Figure 1 illustrates a system for optically measuring the contents of a capillary array in accordance with a preferred embodiment of the invention.

Figure 2 is a detailed view of the fiber optic delivery and collection system in accordance with the invention.

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Figure 3 illustrates an alternative embodiment of the invention using an optical splitter for simultaneous irradiation of capillaries.

Figure 4 illustrates a process sequence for making a multi-capillary holder in accordance with a preferred embodiment of the invention.

5 Figure 5 is a top view of a system for mounting guides onto a channeled substrate in accordance with the invention.

Figure 6 shows a grooved silicon substrate made in accordance with the method of Figure 3.

10 Figure 7 illustrates an array of capillaries or fibers mounted on a grooved silicon substrate.

Figures 8A and 8B illustrate methods for aligning arrays with a fiber optic device.

Figure 9 is a preferred process for aligning the optical elements of an optical analysis system in accordance with the invention.

15 Figure 10 is a mounting structure for a capillary assembly in accordance with the invention.

Figure 11 illustrates a system for optically measuring the contents of a capillary array using a light emitting diode array as the light source.

20 Figure 12 illustrates another alternative embodiment of a system for optically measuring the contents of a capillary array.

Figure 13 shows a detailed view of the light emitting diode and detector mounting assembly.

Figure 14 graphically illustrates the different LED's intensity being modulated at different frequencies.

25 Figure 15 illustrates an alternative embodiment of a system for optically measuring contents of a capillary array.

Figures 16A - 16D graphically illustrate different absorption and emission peaks of three dyes excited by two different lasers, which label different DNA material and the signature of the species detected in accordance with a preferred
30 embodiment of the present invention.

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Figures 17A-17E graphically illustrate different absorption and emission peaks of four dyes excited by three different lasers, which label different DNA material and the signature of the species detected in accordance with another preferred embodiment of the present invention.

5 DETAILED DESCRIPTION OF THE INVENTION

A preferred embodiment of the invention is illustrated in the CGE system 10 of Figure 1. An array of capillaries 26 is provided that are mounted on a first substrate 24. An array of delivery fibers 16 is mounted on a substrate 14 and an optical switch 18 is positioned to couple light from light source 12 to each of the
10 fibers 16 in sequence. Light source 12 can preferably be an argon laser, a solid state laser or any other light source having a suitable emission spectrum for a given application. The light source is coupled to optical switch 18 with a fiber 17. The system also includes an optical combiner or second switch 20 that is coupled to a detector 22 such as a photomultiplier tube or solid state detector device such as a
15 charge coupled device or CMOS detector. As described below the detector is connected to a multichannel analyzer 21, a computer 23 and display 25.

In operation, light from the source is coupled to fibers 16 in sequence. The distal ends of the fibers are each in close proximity to a window on a capillary tube. In a preferred embodiment of the system, each capillary has a corresponding
20 delivery and collection fiber. Each capillary, 26a for example, is in a single plane with its corresponding delivery 16a and collection 5a fiber. This provides a compact system providing for easy alignment.

A mounting structure 150 for the optical fiber system of the present invention is illustrated in connection with Figure 2. The delivery fibers 16 are
25 mounted onto a precision grooved substrate 14 with an adhesive layer 39. The substrate 14 is mounted onto a mounting element 140 with the fibers extending between the substrate 14 and a first mounting surface of element 140. The collection fibers 15 and second substrate are similarly mounted on a second mounting surface of element 140. The mounting surface define an angle such that
30 the delivery and collection fibers are at an angle between 40° and 50° relative to each

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other, preferably at about 45°. Smaller angles tend to increase the signal to noise ratio and higher angles tend to tighten the alignment tolerances.

The element 140 can be mounted on a moveable platform or support in which a first actuator 33 and a second actuator 35 can be used to either manually or
5 electromechanically reposition the optical fiber system relative to the capillaries 26. An alignment fiber 29 coupled to a second light source such as a light emitting diode 27 and light sensor 28 can be used for registration. Servo motors can be connected along circuit 37 to computer 23 or other controller to provide for automatic feedback control of the fibers relative to the capillary assembly.

10 In another preferred embodiment the optical switch is replaced by an optical splitter 34 as shown in the illustration of the light delivery system 30 in Figure 2. In this embodiment, light from the source 12 is delivered through fiber 17 to a splitter, which in the embodiment divides the light into eight separate components and couples the light components into the proximal ends of fibers 36. The fibers 36 are
15 mounted onto the channels of a substrate 32 as described in greater detail below. In this embodiment, although the power requirements for light source 12 are substantially increased, the samples of all eight capillaries that are coupled to the fibers 36 can be measured simultaneously.

A preferred method for fabricating the substrates 14 and 24 is illustrated in
20 connection with the process flow sequence 40 of Figure 4. In this particular example, a silicon wafer is provided 42 having suitable resistivity, thickness, diameter and crystallographic orientation. The wafer can be cleaned 44 with a mixture of sulfuric acid and water and revised. A masking layer is then deposited 46, preferably a one micron thick layer of silicon nitride using a low pressure
25 chemical vapor deposition process. Next a photolithographic step 48 is performed by depositing and patterning a photoresist to define the channel or groove structure to be formed in the wafer. Note that several patterns can be formed in a single wafer. The linewidths of the resist pattern are then verified and the silicon nitride layer is etched 50 to expose the surface pattern for the grooves in the wafer. The
30 photoresist is then removed and the linewidth of the openings in the silicon nitride layer are measured.

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If the pattern is satisfactory, the exposed silicon is etched 52 using a standard etchant, such as a KOH/Alc mixture at 80° C. The remaining silicon nitride can be removed 54 using an HF bath and the wafer surface is rinsed to the desired resistivity.

5 The grooved silicon substrate can be oxidized 56 to provide an insulating layer having a thickness in the range of 5,000 to 10,000 Angstroms. This can be performed in a thermal oxidation furnace at 950° C.

The wafer or substrate is then diced or cut 58 with a saw to provide a plurality of grooved substrates having desired geometrics.

10 Note that a large number of 8 or 16 groove substrates can be fabricated and affixed to a frame to provide a large number of capillary elements. As many as 96 or more capillaries can be configured in a single system. One or a plurality of lasers can be used depending upon the number of capillaries, the switching capacity and power requirements.

15 Illustrated in Figure 5 is an assembly used to mount guides such as optical fibers or capillaries 69 into the channels of a substrate 60. The substrate 60 is held by a vacuum chuck on a supporting surface 66 and two arms 67 are positioned over guides 69 to hold them in the grooves.

20 An adhesive such as a UV curable commercially available epoxy is placed into the opening 68 between the arms 67 and cured. The arms 67 are then removed and the substrate released from the support 66. This provides a procedure well suited for automated manufacture of registered guide components for optical measurement systems.

25 A typical substrate 60 fabricated in accordance with the method of Figure 4 is illustrated in Figure 6. The substrate 60 has grooves or channels 64 for holding optical fibers or capillary tubes. Alignment grooves 62 can also be included and used for alignment as shown in Figures 8A and 8B below. In another preferred embodiment, the channels 64 themselves can be used to contain the gel. In this embodiment a quartz window can be attached to the grooved surface of substrate 60
30 to seal the channels and provide an optical window.

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Figure 7 illustrates an alignment module 70 that can be used in the system of Figures 1 and 2. The substrate 72 has grooves 76 in which capillary tubes or fibers 74 have been positioned. The distance 78 between adjoining fibers or capillaries is precisely known.

5 As shown in Figures 8A and 8B a two fiber system 80 or single fiber system 90 can be used with a groove 82, 92, respectively, in a substrate to confirm alignment. In system 80 fibers 84 and 86 are used to deliver or collect light from a reflecting feature 82 such as a groove. A detector coupled to the proximal end of fiber 86 will verify alignment. Alternatively, in the single fiber system the reflected
10 signal will null out to indicate a proper registration mark.

This process is illustrated in connection with the process sequence of Figure 9. After initial assembly of the fibers onto element 140 the optical fiber system is positioned 102 relative to the capillaries using visual inspection. Light is directed 104 through one or more alignment fibers onto the capillary assembly and the
15 reflected light is detected 106. Based upon this measurement, the element 140 is repositioned 108 and the light delivery and detection steps are repeated 110 until the capillaries are properly aligned and sample analysis 112 can be performed.

Shown in Figure 10 is a capillary assembly including a support 120, two arms 124 and 128 to hold the capillary substrate onto the support 120, electrical
20 leads 125 to connect to first ends of each capillary, and a capillary holder 124. A common electrical lead 122 can be used to connect the second end of the capillaries to a common electrical connector 122. The capillary assembly can also include silicon panels 65, 66 on the opposite side of the optical fibers relative to substrate 24. The substrate 24 and panels 65, 66 act as a heat sink to remove heat from the
25 capillaries caused by the current passing through them. This prevents thermally induced movement of the capillaries that may result in misalignment relative to the optical fiber system.

Figure 11 shows another preferred embodiment of the invention where the light source is an array of light emitting devices, such as light emitting diodes
30 (LED's). An array of capillaries 26 is provided that are mounted on a first substrate 24. An array of delivery fibers 16 is coupled to an array of LED's 192 by an array

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of microlenses 190. The array of LED's 192 are attached on a surface of a mounting assembly or module 194 such as printed circuit board. Separate LED drivers 198 are also attached to the mounting assembly 194. A fiber optic collection array 15 is mounted in close proximity to the capillaries 26. In operation, light from the array of LED's is coupled to fibers 16 in sequence. The distal ends of the fibers are each in close proximity to a window on a capillary tube. In a preferred embodiment of the system, each capillary has a corresponding delivery and collection fiber. The LEDs preferably emit light having wavelengths in the range between 430-500 nm. One dye, for example, has an absorption band with a peak of about 495 nm. For this dye, it is preferable to have about 0.6 - 0.8 mW per channel with a wavelength in the range of 470-500 nm. This provides a substantial reduction in the power needed compared with available lasers where the light is divided into 8 channels, for example. This also eliminates the need for an optical splitter and a modulator or chopper for each channel that are necessary for use with the single laser. As each LED in the array can be driven separately by a compact low cost driver 198, a single controller can actuate each LED in temporal sequence to improve the signal to noise ratio.

Figure 12 shows another preferred embodiment of the invention where the LED's 192 are placed in close proximity to the capillaries 26. The array of LED's 192 are attached to the surface of a mounting assembly 194. The array of LED's 192 are optically coupled to the capillaries 26 by means of an array of microlenses 190 which are also attached to the mounting assembly 194. Separate LED drivers are similarly attached to the mounting assembly 194. A controller can also be mounted on the same module 194 to operate the drivers. The controller can be connected to the personal computer 23. The distal ends of a fiber optic collection array 15 is mounted in close proximity to the capillary tubes 26.

Figure 13 shows a detailed view of the mounting assembly 194. An array of LED's 192 are attached to the mounting assembly 194. The array of LED's 192 are coupled to an array of LED drivers 198 which are used to independently control each LED 192. An array of microlenses 190, also attached to the mounting assembly 194, is used to couple the array of LED's 192 through window 202 to the

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array of channels 204 in substrate 200. An array of detectors 195, used to sense any fluorescence in the channels 204 are also attached to the mounting assembly 194.

An array of microlenses 190 are also used to couple the detectors 195 to the capillaries. A control processor 197 and an electronic filter 199 are also attached to the mounting assembly 194. The electronic filter 199 is used to limit the frequency range and intensity of the signal that the controller receives from each corresponding detector 195. The filter 199 allows only selected light being amplitude modulated at a certain frequency of the fluorescence of the dye in the channels 204 be received by the computer 23. This prevents any crosstalk or stray emissions from neighboring channels.

Figure 14 shows a graph illustrating the narrow bands at which the intensity of light of four LEDs in an array are filtered. The vertical axis represents light intensity and the horizontal axis represents light modulation frequency. The intensity of the light emitted by each of the LED's in the array can be independently modulated.

In another embodiment of the invention, the light emitting devices 192 are an array of semiconductor lasers, such as gallium nitride or indium gallium nitride, emitting at a wavelength in the range of 400 - 500nm. Other semiconductor lasers emitting in the visible or near infrared, for example, gallium arsenide, indium gallium arsenide, aluminum gallium arsenide, indium gallium arsenide phosphide or gallium indium phosphide materials can also be used. Lasers can provide improved sensitivity for certain dyes in which the laser wavelength is well matched to the peak absorption of the dye. A laser array emitting in the range of 700-800nm, for example, at 780nm is suitable for certain applications instead of LEDs that can be used in the red portion of the visible spectrum i.e. in the range of 600-700nm.

Figure 15 shows another preferred embodiment of the system of the present invention having a plurality of sources emitting at different wavelengths. This embodiment provides a multi-analyte capability, an increased variety of analysis modes and DNA sequencing operations. A plurality of light sources such as semiconductor lasers 300 can be modulated at a different frequency by modulators 302 so that the combined beams contain multiple modulation frequencies. Each

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modulator 302 is a single frequency generator that supplies an alternating current (AC) at a predetermined single frequency. A current source 304 is coupled to the semiconductor lasers and supplies each laser with a direct current (DC). Thus, each laser 300 is supplied by an AC current from a modulator 302 and a DC current from the current source 304. The output of each laser 300 can be a sinusoidal function. Using a lens system 306, the light emitted from the lasers 300 is optically coupled into a single optical fiber 308. The optical fiber 308, carries light to the sample-carrying capillaries, or capillary 310 as shown in Figure 15. In a preferred embodiment, each capillary can have a set of a plurality of lasers as it's light source. Thus, the system of a plurality of lasers is replicated for each capillary. The cost of semiconductor lasers is economical to make this a cost effective embodiment. In an alternative embodiment, a splitter can be coupled to the end of the fiber 308 which splits the light emitted from the lasers 300 and provides an optical input into a number of capillaries. The power of the lasers determines how many capillaries can be coupled to the same single optical fiber.

In another preferred embodiment, a line generator is used as opposed to the optical fiber 308. Light from each of the lasers illuminates a region proximal to the input of a plurality of capillaries such as capillary 310. A plurality of capillaries receive light input from the illuminated region. The detected fluorescence signal is collected by the light collection fiber 312. This detected fluorescence signal generates a photocurrent that contains multiple frequencies. The photocurrent is detected by the photodetector 314. The photodetector 314 provides an input into the synchronous detector 316. The synchronous detector 316 detects a signal only if the signal has a frequency that is defined by the modulators 302. The ratio of the amplitudes of the different frequencies contained in the detected fluorescence signal depends on the fluorescent label detected by the light beam. The identification of molecular species translates into the identification of frequency signatures. The synchronous detector can be a single frequency detector including filters. Each filter detects only one frequency that corresponds to a modulator 302 generated frequency.

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In the alternative, the synchronous detector is a lock-in amplifier that is connected to the modulator as shown in Figure 15. The lock-in amplifier provides a better signal to noise ratio than a frequency detector with filters. The lock-in amplifier detects a signal having the same frequency and phase as the frequency
5 generated by the modulator. A computer 318 can be used to store, display and/or analyze the results of the multi-color, CGE system. In the alternative, referring again to Figure 1, the light source 12 can emit a plurality of wavelengths.

Figure 16A shows a graph illustrating three different absorption peaks of three dyes which label different DNA bases. The graph further illustrates three
10 different emission peaks which are detected simultaneously by a single detector. A two laser system is used in this preferred embodiment to identify up to three molecular species and can be used for DNA sequencing (with unlabeled fourth base).

Figures 16B-16D graphically illustrate the frequency signature
15 corresponding to the labels. Thus, color of emission is not used for detection of molecular species. The detection optics is simplified by the system of encoding the labels as frequency signatures as well as having a much wider selection of dye labels available.

Figure 17A shows a graph illustrating four different absorption peaks of four
20 dyes which label different DNA bases. The graph further illustrates four different emission peaks which are detected simultaneously by a single detector. A three laser system is used in this preferred embodiment to identify six or more species.

Figures 17B-17E graphically illustrate the frequency signature corresponding to the labels. There is a wide selection of lasers within the range of 600 nm to 980
25 nm that are currently available. Further, recent development of visible and near IR sources has also generated a wide selection of dyes available for labeling. An exemplary tabulation of dyes, such as those supplied by Synthetic Genetics, Inc. of San Diego, California is provided in Table I. Further, Table II lists exemplary Rhodamine dyes that are available for use with the system of the present invention.

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Table I

	Dye	Color	Absorption Maximum(nm)	Emission Maximum(nm)	Molar Extinction Coefficient(cm ⁻¹ M ⁻¹)
5	Dabcyl	-----	453	None	32,000
	Cy2	Green	489	506	150,000
	Fluorescein (FITC)	Green	494	525	73,000
	FAM(Carboxyfluorescein)	Green	496	516	83,000
	TET(Tetrachlorofluorescein)	Orange	521	536	73,000
10	HEX(Hexachlorofluorescein)	Pink	535	556	73,000
	Cy3	Orange	550	570	150,000
	TAMRA (Carboxytetramethyl rhodamine)	Rose	565	580	89,000
	Cy3.5	Scarlet	581	596	150,000
15	ROX(carboxy-x-rhodamine)	Red	575	602	82,000
	Texas Red	Red	596	615	85,000
	Malachite Green	Green	630	None	76,000
	Cy5	Far Red	649	670	250,000
	Cy5.5	Near-IR	675	694	250,000
20	Cy7	Near-IR	743	767	250,000
	FluorX	Green	494	520	68,000

Molecular Probes Dyes (all are \$200 for 0.2 μ mol and \$300 for 1.0 μ mol-HPLC purification included)

	AMCAS	---	353	437	19,000
25	Cascade Blue	Blue	396	410	29,000
	BODIPY FL	---	502	510	82,000
	BODIPY 530/550	---	534	551	77,000
	BODIPY 493/503	---	500	509	79,000
	BODIPY 568/569	---	559	568	97,000
30	BODIPY 564/570	---	563	569	142,000
	BODIPY 576/589	---	575	588	83,000
	BODIPY 581/591	---	581	591	136,000
	BODIPY FL-X	---	504	510	85,000
	BODIPY TR-X	---	588	616	68,000
35	BODIPY TMR	---	544	570	56,000
	BODIPY R6G	---	528	547	70,000
	BODIPY R6G-X	---	529	547	73,000
	BODIPY 630/650-X	---	625	640	101,000
	Marina Blue	Blue	362	459	19,000
40	Oregon Green 500	Green	499	519	78,000
	Oregon Green 514	Green	506	526	85,000
	Oregon Green 488	Green	494	517	84,000
	Pacific Blue	Blue	416	451	36,000
	Rhodamine Green	Green	504	532	78,000
45	Rhodol Green	Green	496	523	63,000
	Rhodamine Green -X	Green	503	528	74,000
	Rhodamine Red -X	Red	560	580	129,000
	Texas Red - X	Red	583	603	135,000

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Table II

		$\lambda_{\text{abs}}[\text{nm}]$	$\lambda_{\text{em}}[\text{nm}]$	$\tau[\text{ns}]$	Φ_1	
5	MR200-1	Ethanol	620	641	4.02	90
		H ₂ O	616	636	3.62	---
	JAS1-DS	Ethanol	630	657	3.41	54
		H ₂ O	628	654	2.16	---
	JA66	Ethanol	635	659	3.89	70
		H ₂ O	632	655	2.46	---
	JA93	Ethanol	667	691	3.43	56
		H ₂ O	666	687	1.79	---
	MR116	Ethanol	652	672	2.19	---
		H ₂ O	649	668	1.29	---
	MR121	Ethanol	650	670	3.64	---
		H ₂ O	662	676	1.89	---

EQUIVALENTS

While this invention has been particularly shown and described with
 10 reference to preferred embodiments thereof, it will be understood by those skilled in
 the art that various changes in form and details may be made therein without
 departing from the spirit and scope of the invention as defined by the appended
 claims.

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Claims

We claim:

1. A channel fluorescence analysis system comprising:
a plurality of light sources;
5 an optical coupler;
a plurality of channels, each channel positioned to receive light through the optical coupler from at least two of the light sources, each channel containing a material that fluoresces in response to the received light; and
10 a detector system optically coupled to the plurality of channels.
2. The system of Claim 1 further comprising a substrate to position at least a portion of the plurality of channels.
3. The system of Claim 1 wherein the plurality of light sources are lasers.
4. The system of Claim 3 wherein the lasers emit light having a wavelength in a
15 range between 600 and 980 nm.
5. The system of Claim 1 wherein the optical coupler is a microlens array.
6. The system of Claim 1 wherein the optical coupler is a fiber optic delivery system.
7. The system of Claim 1 wherein the optical coupler comprises a microlens
20 array and a fiber optic delivery system.
8. The system of Claim 5 further comprising a second optical coupler including a microlens array.

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9. The system of Claim 1 further comprising a second optical coupler including a fiber optic collection system.
10. The system of Claim 9 wherein the second optical coupler comprises a microlens array and a fiber optic collection system.
- 5 11. The system of Claim 1 wherein the plurality of light sources are controlled a by driver circuit.
12. The system of Claim 11 further comprising separate driver circuits for each light source that modulate light intensity of different sources at different frequencies.
- 10 13. The system of Claim 12 wherein the plurality of light sources and the separate driver circuits are mounted to a common module.
14. The system of Claim 1 wherein the detector system comprises separate detectors which are electrically connected to an electronic filter and a processor.
- 15 15. The system of Claim 1 wherein the light sources comprise light emitting diodes (LEDs).
16. The system of Claim 15 wherein the LEDs emit light in a range of 430nm to 500nm.
17. The system fo Claim 15 wherein the LEDs comprise InGaN or GaNa.
- 20 18. The system of Claim 1 further comprising at least one supporting substrate to support a plurality of optical pathways.

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19. The system of Claim 1 wherein the light sources emit light in a range of 600nm to 800nm.
20. A method of fluorescence analysis comprising:
providing a light source;
5 coupling light from the light source having a modulated intensity to an array of channels, each channel positioned to receive light from an optical coupler, the channels containing a material that fluoresces in response to the light; and
detecting light from the channels with a detector system optically
10 coupled to the array of channels.
21. The system of Claim 20 wherein the light source is a semiconductor laser.
22. A method of connecting a fiber optic delivery system of a channel fluorescence system to a semiconductor laser comprising:
mounting a semiconductor laser on an assembly;
15 optically coupling the semiconductor laser to a proximal end of an optical fiber; and
optically coupling a distal end of the optical fiber to a channel of a channel fluorescence system.
23. The method of Claim 22 further comprising aligning an array of optical
20 fibers with an array of channels containing a fluorescent material.
24. The method of Claim 22 further comprising coupling the semiconductor laser to the proximal end of the optical fiber with a microlens.
25. The method of Claim 22 further comprising coupling a plurality of semiconductor lasers to a plurality of channels.

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26. The method of Claim 22 further comprising controlling an intensity of light emitted by the laser with a driver circuit.
27. The method of Claim 22 further comprising modulating an intensity of light emitted by the laser.
- 5 28. The method of Claim 22 further comprising optically coupling the channel to a detector.
29. The method of Claim 28 further comprising filtering a signal from the channel.
30. A method of using a capillary gel electrophoresis system comprising:
10 coupling a plurality of semiconductor lasers which emit a plurality of wavelengths to a plurality of capillaries using a fiber optic delivery system;
 modulating the semiconductor lasers; and
 detecting emitted fluorescence from the capillaries.
31. The method of Claim 30 further comprising modulating lasers with different
15 wavelengths at different modulation frequencies.
32. The method of Claim 30 wherein the laser emits the laser light in a range of 600nm to 980nm.
33. The method of Claim 30 further comprising a synchronous detector.
34. The method of Claim 33 wherein the synchronous detector comprises a lock-
20 in amplifier.
35. The method of Claim 30 further comprising coupling the capillaries to a detector with a fiber optic collection system.

36. The method of Claim 30 further comprising connecting a computer to a detector system that detects the emitted fluorescence.
37. The method of Claim 30 further comprising modulating the lasers at different frequencies.
- 5 38. The method of Claim 30 further comprising electronically filtering detected signals.
39. The method of Claim 30 further comprising coupling light having a plurality of frequencies to each capillary.
40. The method of Claim 33 further comprising connecting a plurality of
10 photodetectors to the synchronous detector.

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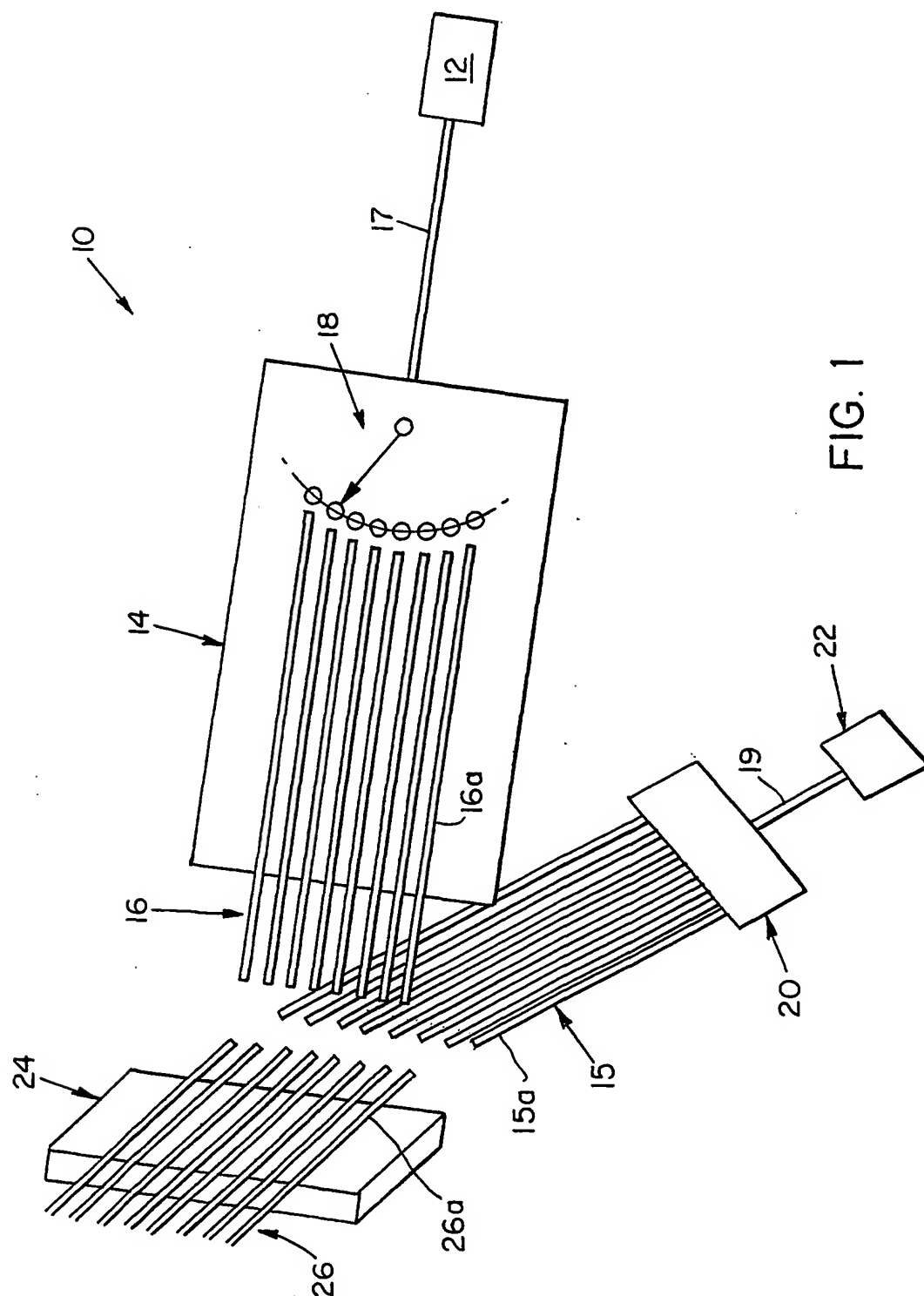


FIG. 1

INTERNATIONAL SEARCH REPORT

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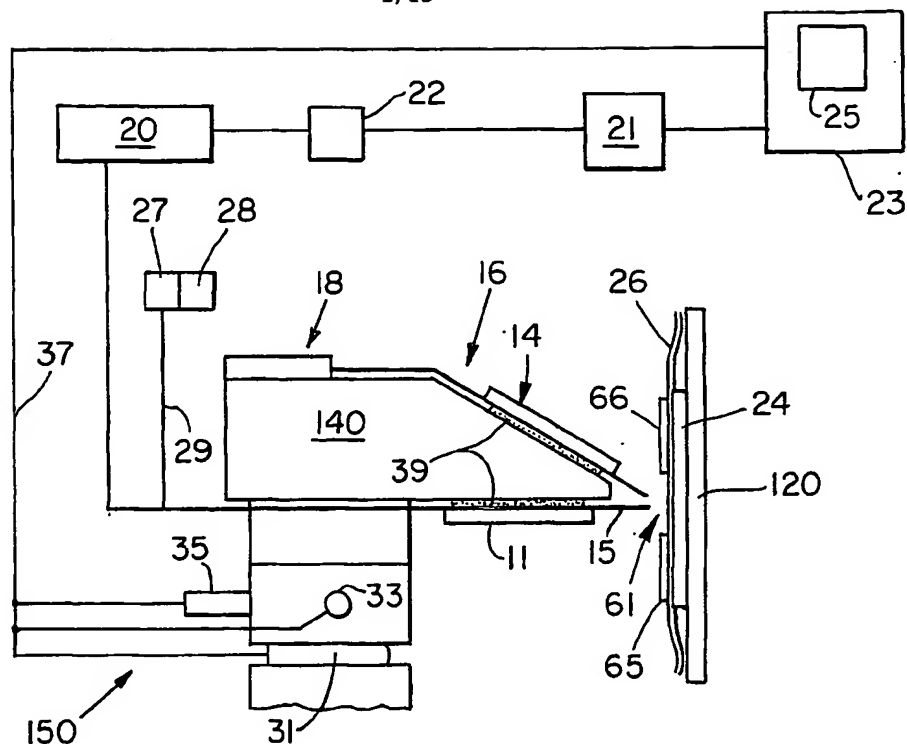


FIG. 2

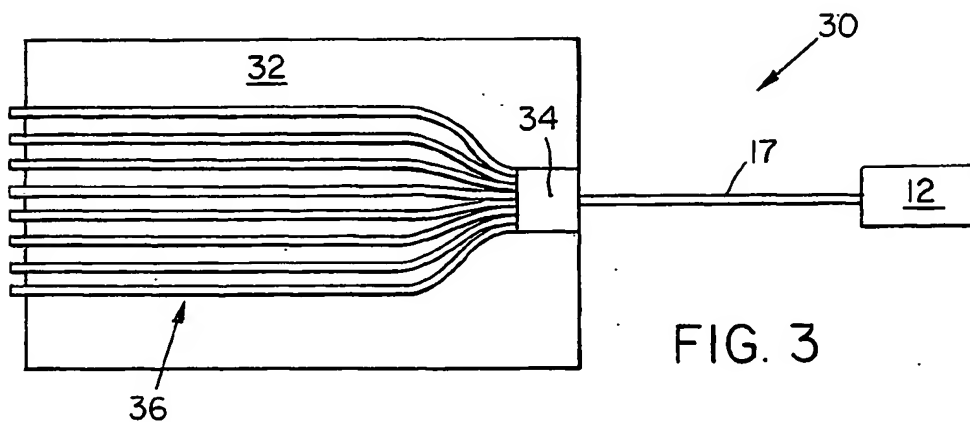


FIG. 3

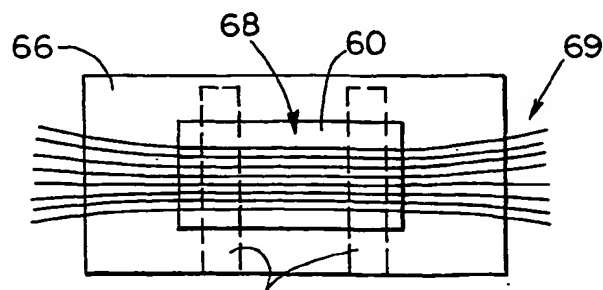


FIG. 5

INTERNATIONAL SEARCH REPORT

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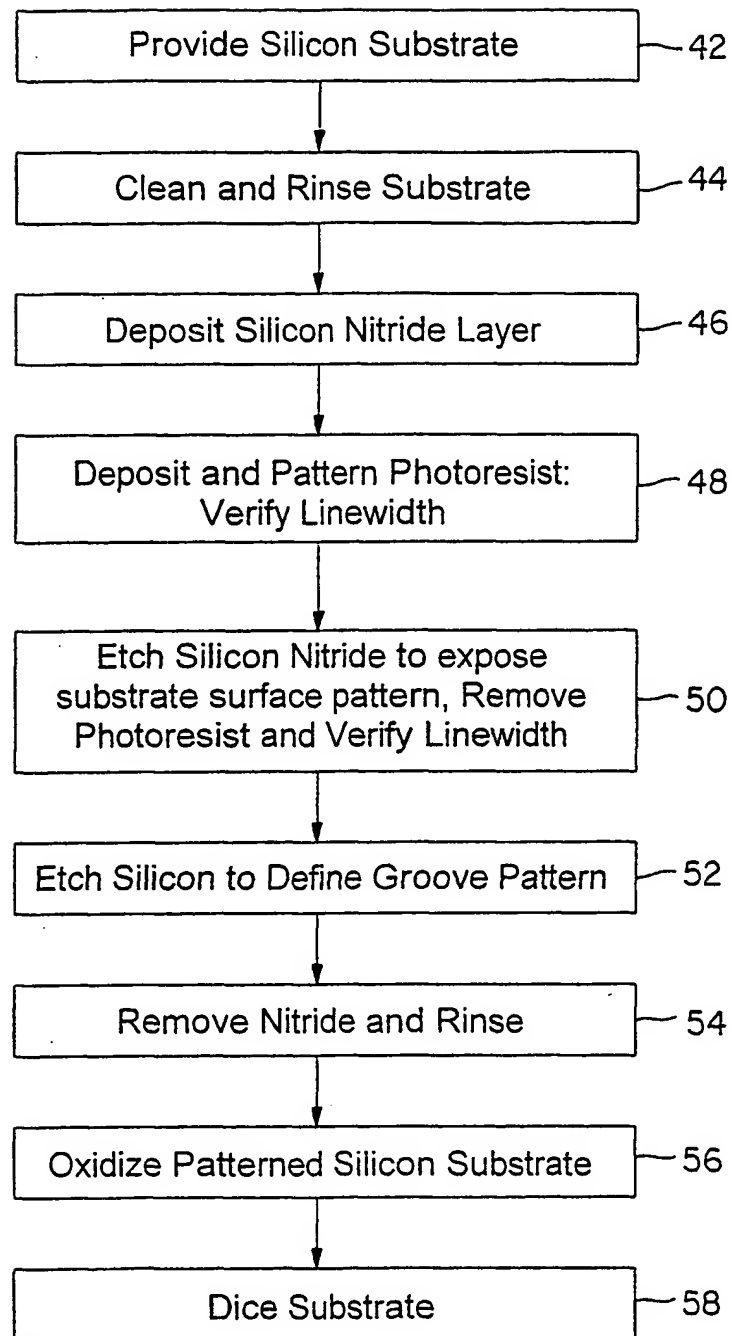


FIG. 4

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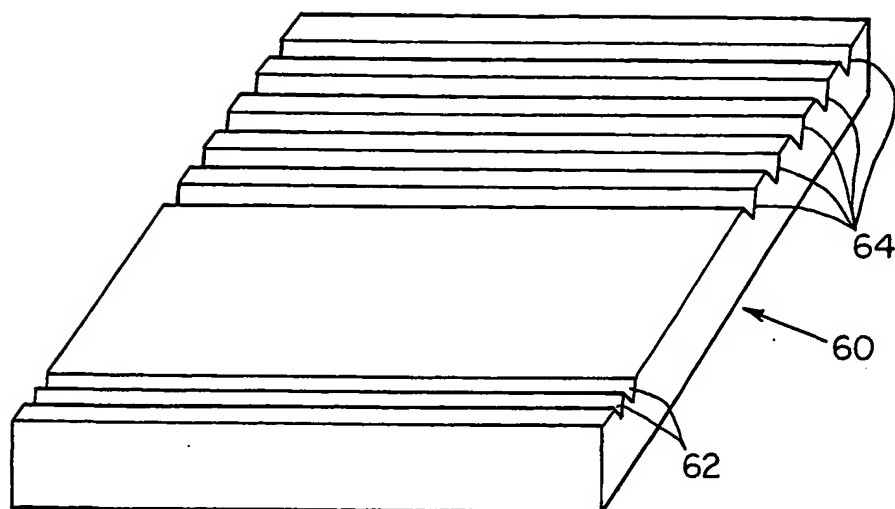


FIG. 6

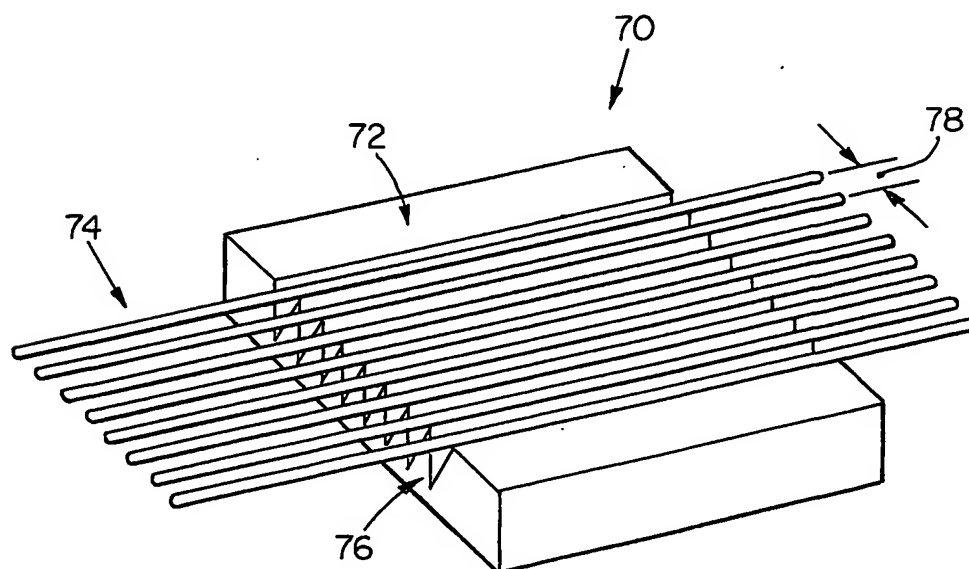


FIG. 7

INTERNATIONAL SEARCH REPORT

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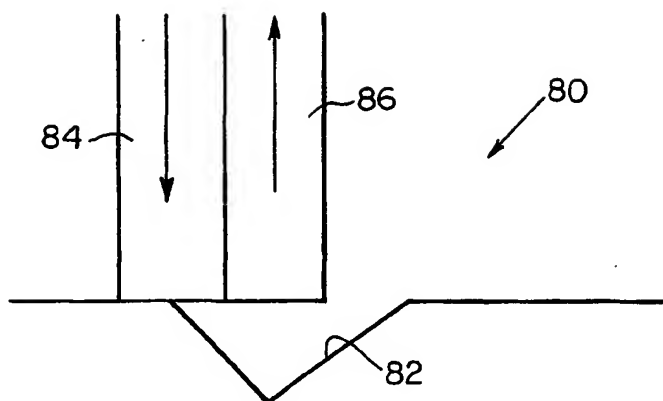


FIG. 8A

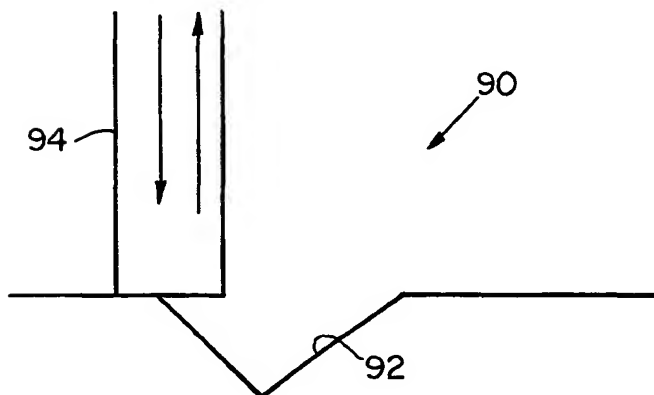


FIG. 8B

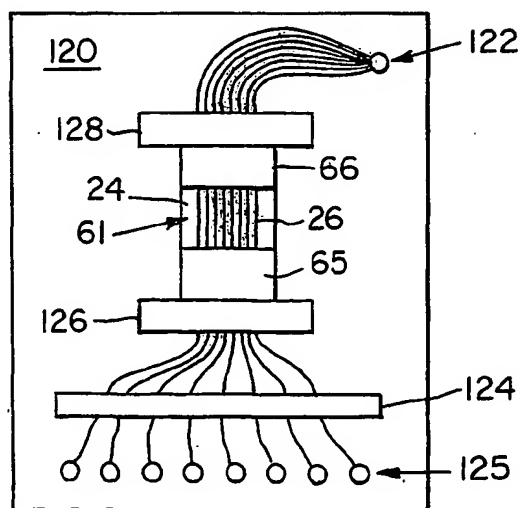


FIG. 10

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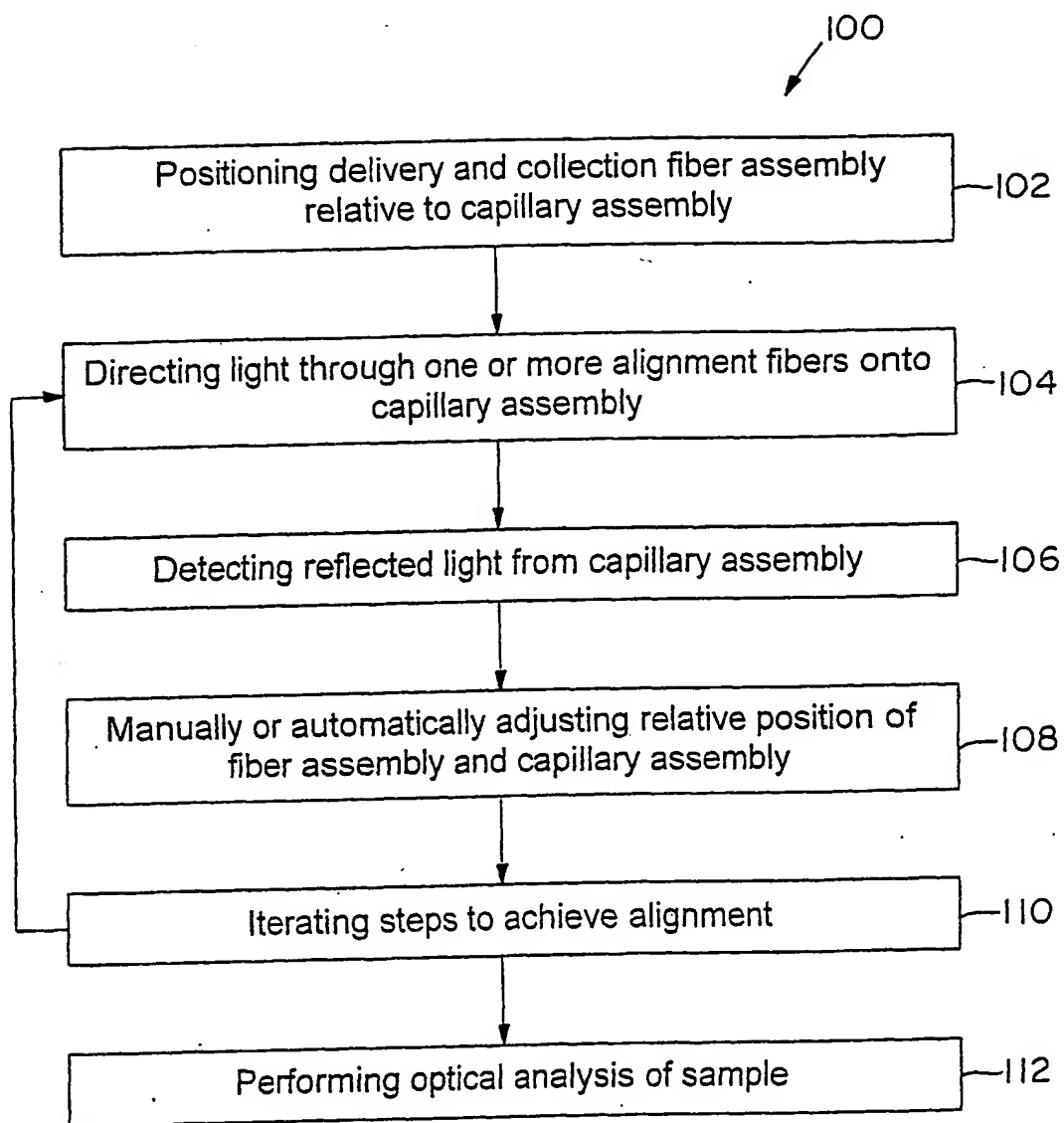


FIG. 9

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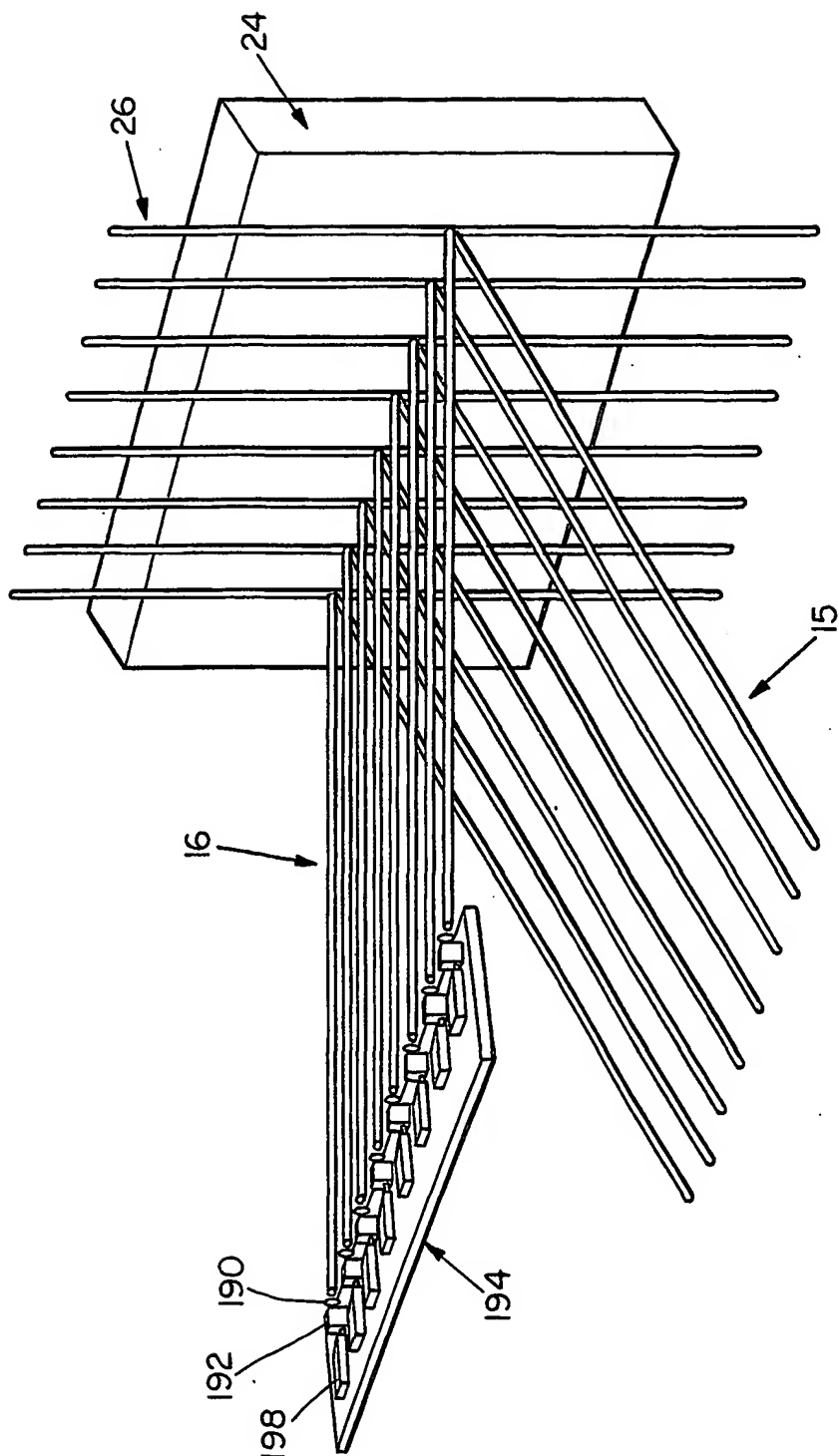


FIG. 11

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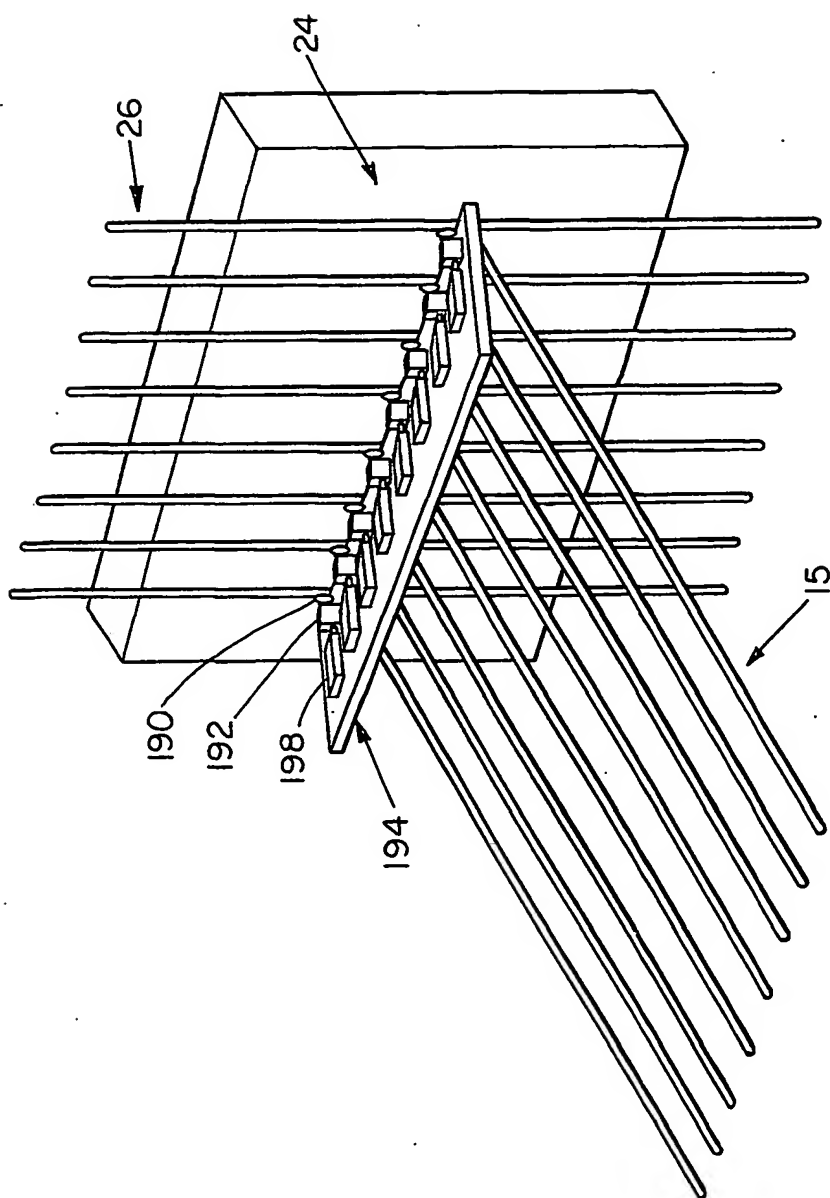


FIG. 12

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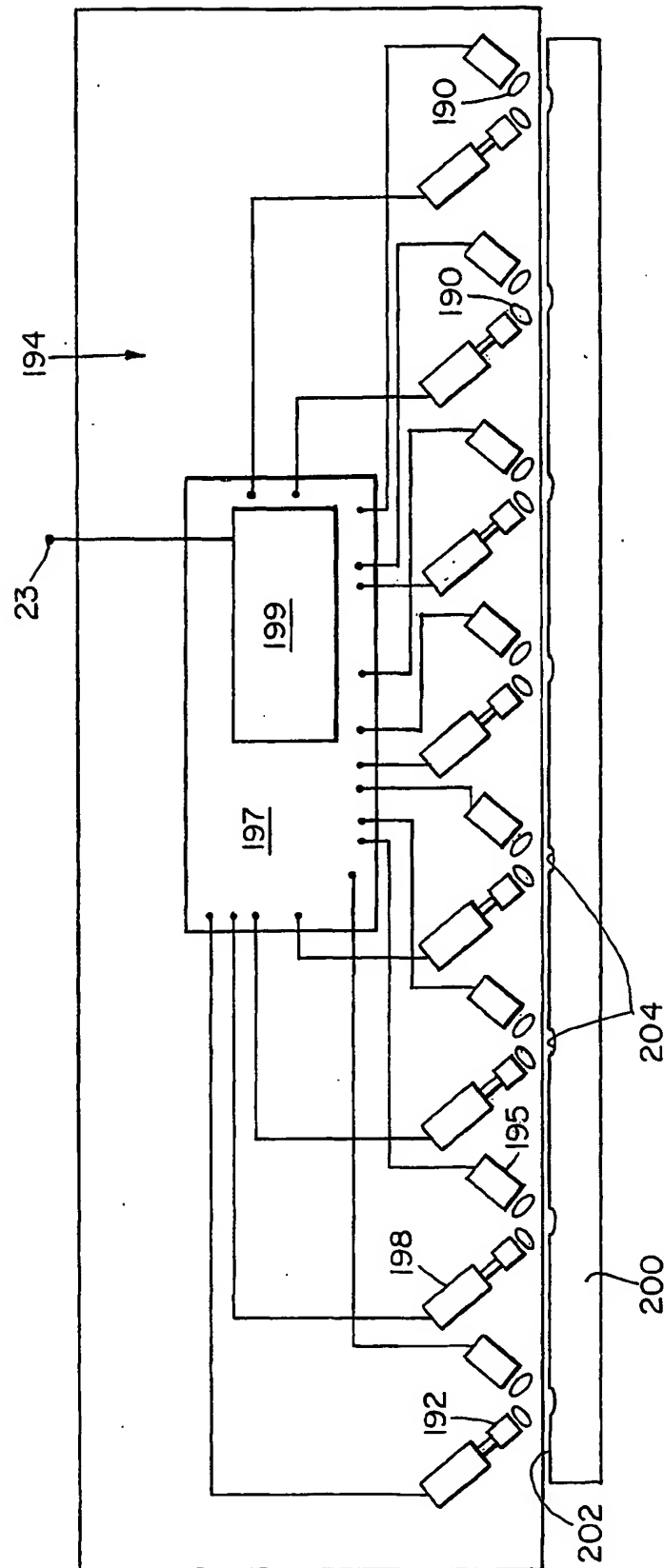


FIG. 13

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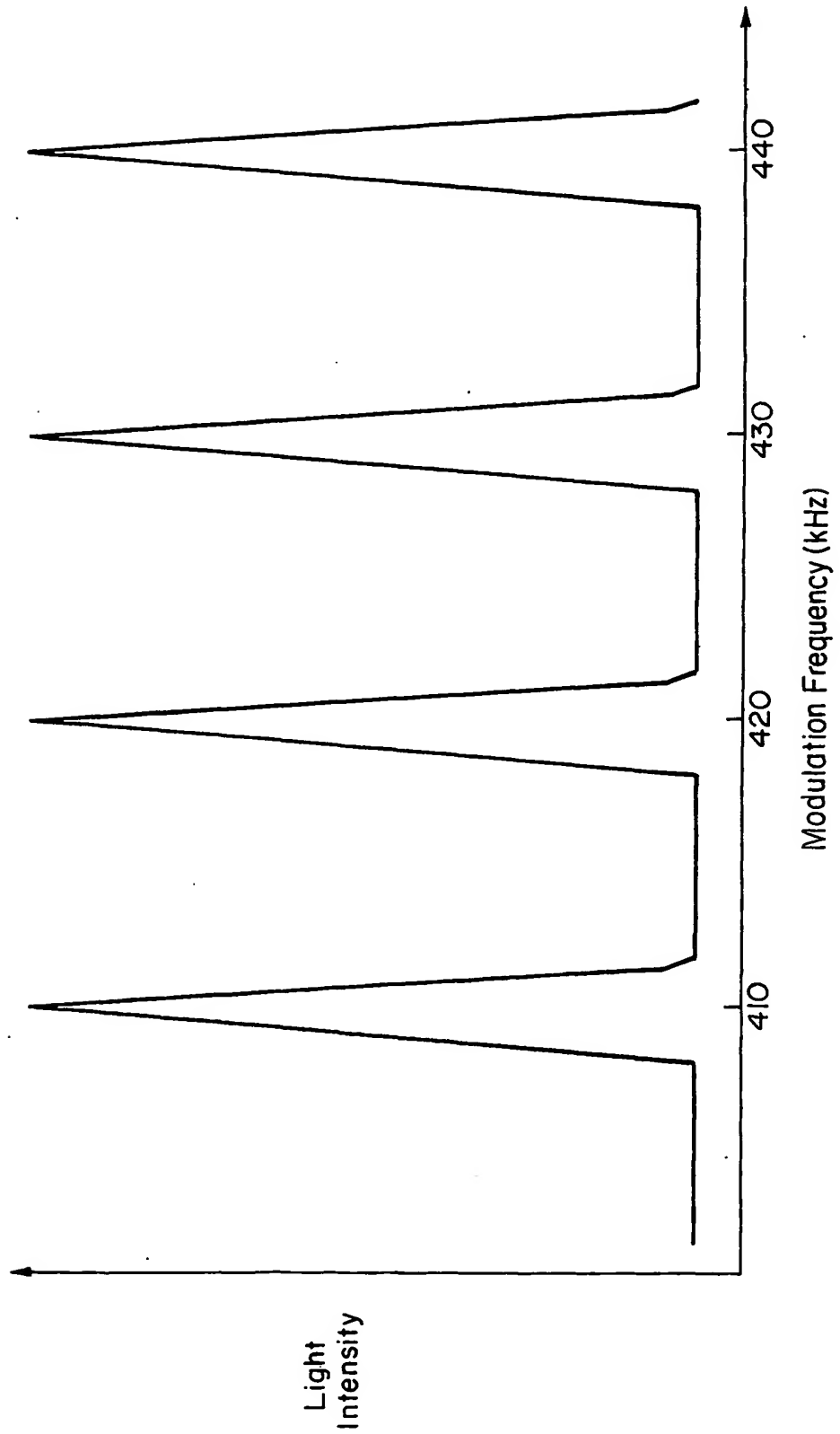


FIG. 14

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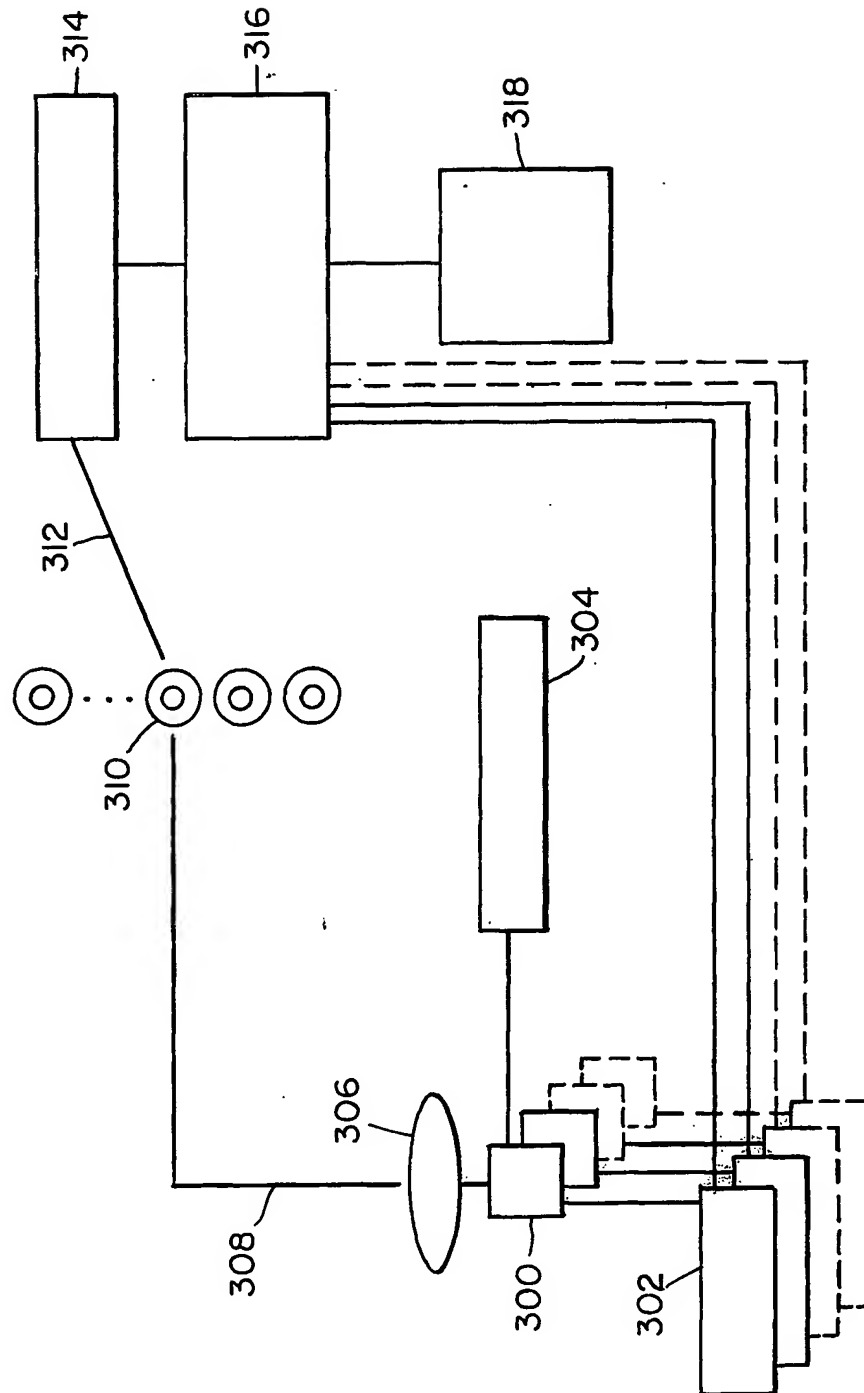


FIG. 15

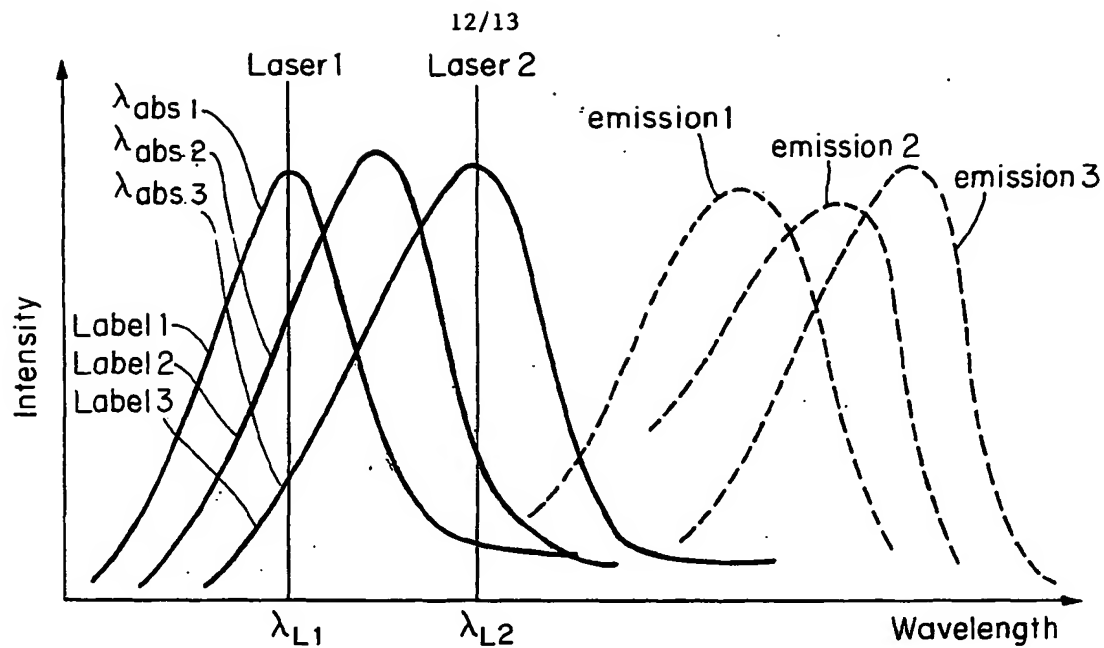
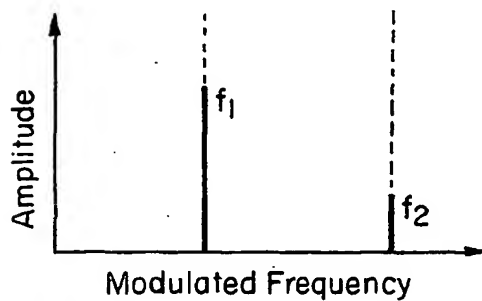
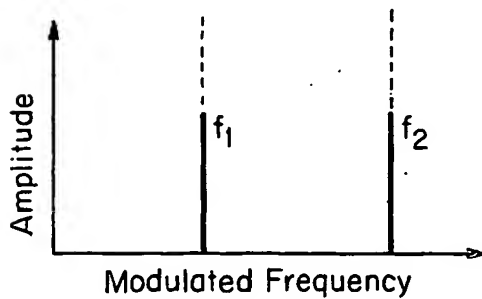


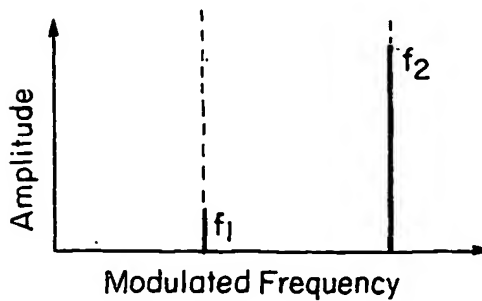
FIG. 16A



Label 1 Signature
FIG. 16B



Label 2 Signature
FIG. 16C



Label 3 Signature
FIG. 16D

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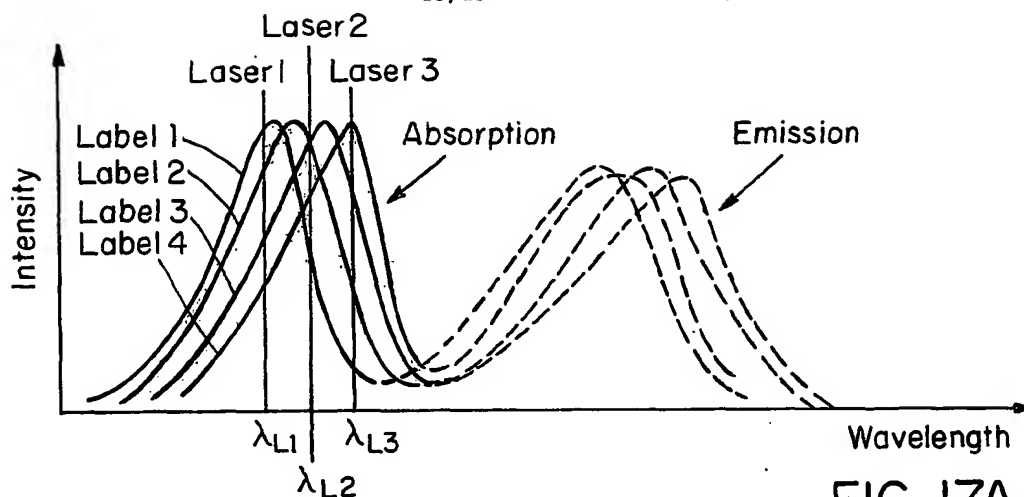
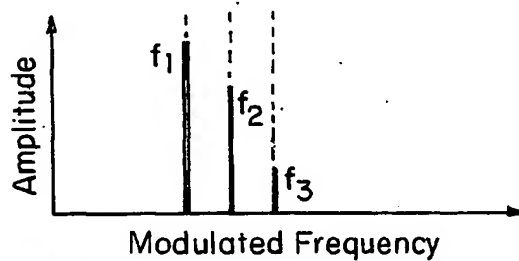
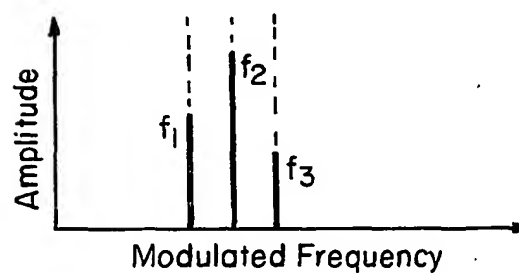


FIG. 17A



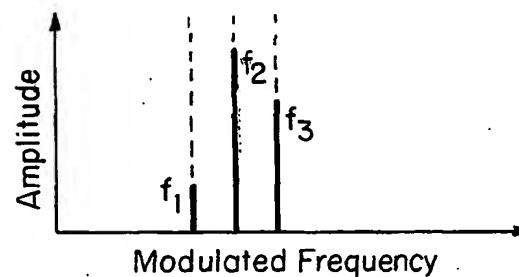
Label 1 Signature

FIG. 17B



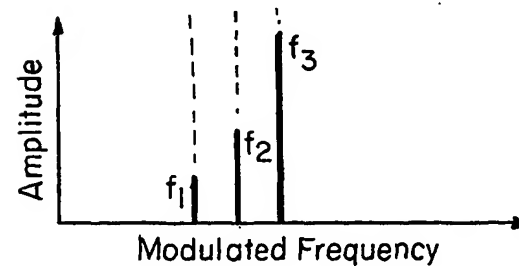
Label 2 Signature

FIG. 17C



Label 3 Signature

FIG. 17D



Label 4 Signature

FIG. 17E

International Application No
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According to International Patent Classification (IPC) or to both national classification and IPC

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 G01N G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

COMPENDEX, EPO-Internal, INSPEC, PAJ, WPI Data

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	the whole document	23
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	abstract column 4, line 42 - line 47 column 4, line 66 -column 5, line 29 column 5, line 39 - line 49 column 6, line 36 - line 43 figure 3B	

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☒ Patent family members are listed in annex.

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26 October 2000

Date of mailing of the international search report

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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A	column 2, line 5 - line 21 column 3, line 20 - line 26 column 5, line 2 - line 11 column 5, line 19 - line 36 figures 2-4	11,12, 15,30, 31, 33-35,37
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